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1     **A Simple and Cost-effective Synthesis of Sulfated  $\beta$ -cyclodextrin and its Application as**  
2             **Chiral Mobile Phase Additive in the Separation of Cloperastine Enantiomers**

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38 **ABSTRACT**

39 A simple and cost-effective method for the synthesis of sulfated beta-cyclodextrin, one of the most widely used  
40 chiral mobile phase additives, using sulfamic acid as a sulfonating agent has been described. The method was  
41 optimized, and the synthesized product was characterized by spectroscopic, size-exclusion chromatographic,  
42 thermal, and microscopic methods and was compared to the marketed Sigma Aldrich sulfated beta-cyclodextrin.  
43 Beta cyclodextrin, hydroxypropyl beta-cyclodextrin, sulfated beta-cyclodextrin (marketed and synthesized) were  
44 evaluated as chiral mobile phase additives (CMPAs) for the enantiomeric separation of cloperastine, an antitussive  
45 agent, using reversed-phase HPLC. Under optimized conditions, a resolution of 3.14 was achieved within 15  
46 minutes on an achiral Kromasil C<sub>8</sub> (150 x 4.6 mm, 5 μm) column, with a mobile phase of 5 mM monopotassium  
47 phosphate containing 10 mM synthesized sulfated beta-cyclodextrin pH 3.0 and 45% methanol. The method  
48 utilizing synthesized sulfated beta-cyclodextrin as CMPA was validated as per ICH guidelines and applied for the  
49 quantitative determination of cloperastine enantiomers in active pharmaceutical ingredients and pharmaceutical  
50 formulations. The selectivity changes imparted by sulfated beta-cyclodextrin were proven to be beneficial for  
51 chiral separation. For the enantiomeric separation of cloperastine, synthesized sulfated beta-cyclodextrin provided  
52 better resolution than marketed sulfated beta-cyclodextrin.

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70 **Highlights:**

- 71 1. Cost-effective synthesis of sulfated beta-cyclodextrin  
72 2. Rapid separation of cloperastine enantiomers by synthesized sulfated beta-cyclodextrin as chiral mobile phase  
73 additive  
74 3. Synthesized sulfated beta-cyclodextrin provided better resolution than marketed sulfated beta-cyclodextrin  
75

76 **Keywords:** Enantiomeric separation, Sulfated β-cyclodextrin, Reversed-phase high-performance liquid  
77 chromatography (RP-HPLC), Chiral mobile phase additives, Cloperastine

## 78 1. INTRODUCTION

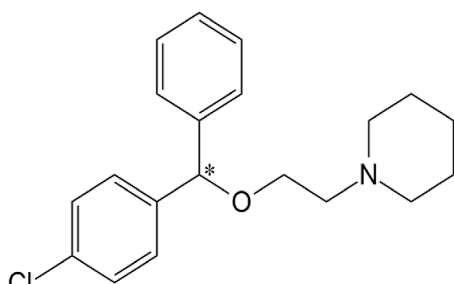
79 Significant differences in the biological activities between the two enantiomeric forms of many drugs have  
80 resulted in the need for enantiomeric separation of chiral drugs. The US Food and Drug Administration and other  
81 regulatory agencies have made it mandatory for the manufacturers to identify and evaluate the activities of each  
82 enantiomer of the chiral drug as well as racemates and analyze the product for enantiomeric purity along with  
83 other routine analysis [1, 2].

84 Chiral high-performance liquid chromatography (HPLC) is one of the most powerful techniques used in  
85 enantiomeric separation. Under chiral HPLC, enantiomeric separation can be achieved directly using a chiral  
86 stationary phase and chiral mobile phase additive (CMPA) or indirectly using precolumn derivatization. Chiral  
87 mobile phase additives offer several advantages, such as the availability of a wide range of chiral additives and  
88 the use of achiral columns that are less expensive and more rugged than chiral columns.

89 Cyclodextrin (CD) is one of the most widely used CMPAs. CDs are cyclic oligosaccharides and are of three  
90 main types, namely,  $\alpha$ ,  $\beta$ , and  $\gamma$ , comprising of six, seven, and eight glucopyranose units, respectively. The glucose  
91 units in CDs adopt a chair conformation and orient themselves in such a way that the molecule forms a truncated  
92 cone-shaped structure with a hydrophobic cavity sandwiched between hydrophilic surfaces. Due to this unique  
93 structure, CDs can form inclusion complexes with guest enantiomers and because of this property, CDs are widely  
94 applied as CMPAs for enantiomeric separation by HPLC and capillary electrophoresis (CE).

95  $\beta$ -CD is readily available and is the lowest-priced and most widely used CD in its family. It has limited  
96 solubility in water. The introduction of sulfate groups onto the hydroxyl groups of cyclodextrins confers higher  
97 aqueous solubility and enhances the chiral recognition capability for guest enantiomers. The cation exchange and  
98 orthogonal hydrophobic interaction (i.e., via inclusion complex) by S- $\beta$ -CD are responsible for the stereoselective  
99 discrimination of enantiomers that fit into the CD cavity [3]. Although S- $\beta$ -CD is predominantly used in capillary  
100 electrophoresis, its successful application in HPLC as CMPA, in some reports, emphasizes the versatility of this  
101 approach, its underutilization as a viable analytical tool, and as an alternative to commercially available expensive  
102 chiral columns [4-6].

103 The molecule chosen for the study of enantiomeric separation by S- $\beta$ -CD is cloperastine (CP,  $\pm 1$ -[2-[(4-  
104 chlorophenyl)-phenyl-methoxy] ethyl] piperidine); this drug exhibits antihistaminic, antitussive, and papaverine-  
105 like activity similar to codeine but without its narcotic effects [7]. Pharmacological studies have established that  
106 levocloperastine (LCP) is the active enantiomer and is also less toxic than dextrocloperastine (DCP) and its  
107 racemic mixture. LCP is marketed as a single enantiomer drug. The structure of cloperastine is given in **Figure 1**.



108  
109 **Figure 1: Structure of cloperastine**

110  
111 A survey of the literature revealed that the enantiomeric separation of cloperastine was reported using HPLC by  
112 Chiralcel OD-H column in normal-phase mode [8] and Chiralpak-IA in reversed-phase mode [9, 10]. However,

113 there is no reported method for the enantiomeric separation of cloperastine by chiral HPLC with chiral mobile  
114 phase additives. Hence, it motivated us to develop a chiral HPLC method for the enantiomeric separation of  
115 cloperastine using a reverse-phase column with S- $\beta$ -CD as a chiral mobile phase additive i.e without using chiral  
116 columns. In the present investigation, a simple and cost-effective synthesis of S- $\beta$ -CD and its characterization by  
117 various techniques have been reported. The chromatographic efficiency of the synthesized S- $\beta$ -CD (S- $\beta$ -CD3)  
118 was evaluated in comparison with marketed (S- $\beta$ -CD1) as CMPA in the resolution of cloperastine enantiomers  
119 by HPLC.

120

## 121 2. EXPERIMENTAL

### 122 2.1 Chemicals

123 Cloperastine and levocloperastine were obtained as gift samples from Precise Chemipharma Pvt. Ltd., Mumbai.  
124 Beta cyclodextrin was purchased from Jay Chem Ltd., Mumbai. Sulfamic acid was purchased from Qualigens  
125 fine chemicals. HPLC and LR grade methanol and orthophosphoric acid (OPA) were purchased from S.D. Fine  
126 Chemicals. Acetonitrile, potassium dihydrogen phosphate ( $\text{KH}_2\text{PO}_4$ ), N, N dimethylformamide (DMF), and  
127 sodium hydroxide (NaOH) were purchased from Merck Ltd. Levocloperastine fenzidate syrup (brand name:  
128 Zerotuss) manufactured by Precise Chemipharma Pvt. Ltd., was used for assay studies. Sulfated beta-cyclodextrin  
129 sodium salt (degree of substitution 11-14) was purchased from Sigma Aldrich for comparison. All chemicals were  
130 of analytical reagent grade and used without further purification. Quartz double distilled water was used to prepare  
131 the mobile phase and other solutions

### 132 2.2 Synthesis of sulfated beta-cyclodextrin

133 Initially, for enantiomeric separation of chiral drugs,  $\beta$ -CD, Hp- $\beta$ -CD, and S- $\beta$ -CD were used as a CMPA. S- $\beta$ -  
134 CD gave encouraging results, however, marketed S- $\beta$ -CD (Sigma Aldrich; the degree of substitution 11-14) was  
135 priced at \$ 340 for 25 g. Hence, it was decided to synthesize S- $\beta$ -CD, which will further be characterized and  
136 utilized as a CMPA. For the synthesis of S- $\beta$ -CD, sulfuric acid, chlorosulfonic acid, and sulfamic acid were used  
137 as sulfonating agents. The synthesized compounds were characterized by various spectroscopic, size-exclusion  
138 chromatographic, thermal, and microscopic techniques and were compared to the marketed S- $\beta$ -CD. Sulfuric acid  
139 did not give a good product. S- $\beta$ -CD1 is marketed S- $\beta$ -CD, S- $\beta$ -CD2 is synthesized S- $\beta$ -CD using chlorosulfonic  
140 acid as a sulfonating agent, and S- $\beta$ -CD3 is synthesized S- $\beta$ -CD using sulfamic acid as a sulfonating agent.

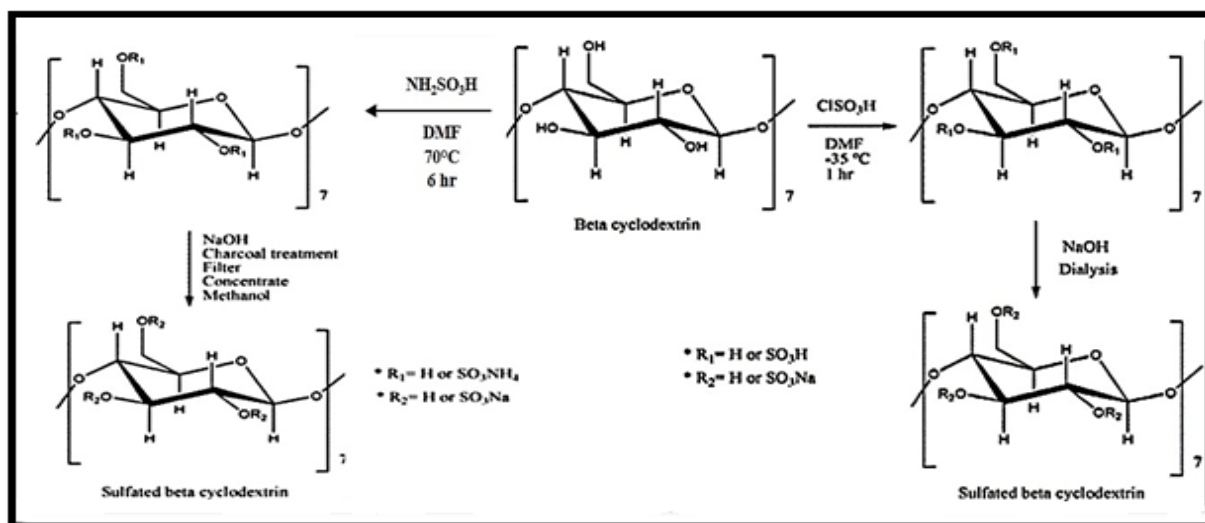
#### 141 2.2.1 Synthesis of S- $\beta$ -CD by chlorosulfonic acid (S- $\beta$ -CD2)

142 10 g  $\beta$ -CD was dissolved in 150 ml of DMF and transferred to a cold temperature synthesizer maintained at -35  
143 °C. 14 ml of chlorosulfonic acid was added in a drop-wise manner and after the complete addition of  
144 chlorosulfonic acid, the reaction mass was stirred for 1h at -10 °C. The reaction mass was poured into 300 ml  
145 chilled acetone and refrigerated overnight. The precipitate was filtered, dissolved in distilled water, and pH was  
146 adjusted to 9 with 1N NaOH. The solution was concentrated under reduced pressure using a rotary evaporator and  
147 methanol was added to precipitate the product. The product was filtered and dialyzed against water (molecular  
148 weight cut off 1000 Da). After dialysis, methanol was added to the solution resulting in precipitation of the product  
149 (S- $\beta$ -CD2) (Yield: 2.5g). The reaction scheme is given in **Figure 2**.

#### 150 2.2.2 Synthesis of S- $\beta$ -CD by sulfamic acid (S- $\beta$ -CD3)

151 50 g  $\beta$ -CD and 91 g sulfamic acid were dissolved in 750 ml DMF and heated at 70 °C for 6 h. Upon completion,  
152 the reaction mass was cooled to room temperature, filtered, and poured into 1.5 liter methanol. The resultant

153 precipitate was filtered, dissolved in 5N NaOH, and treated with activated charcoal. The charcoal was removed  
 154 by filtration after 15 minutes and the filtrate was concentrated under reduced pressure using a rotary evaporator.  
 155 To the concentrated solution, methanol was added to precipitate the product (S-β-CD3). The product was filtered,  
 156 air-dried, and stored in an airtight container. (Yield: 97.5 g). The reaction scheme is given in **Figure 2**.  
 157



158  
 159 **Figure 2: Reaction scheme for the synthesis of sulfated beta-cyclodextrin by sulfamic acid**  
 160

161 The details of the scale-up of the synthesis of S-β-CD are given in Table 1.

162 **Table 1: Scale-up of synthesis of S-β-CD**

Sr. No.	Beta cyclodextrin (g)	Sulfamic acid (g)	Reaction Time (hr)	Yield (g) mean ± SD	% Yield of S-β-CD
1	5 (4.4 mM)	9.1 (93.7 mM)	4	6.9 ± 1.01	84.77
2	10 (8.8 mM)	18.2 (187.4 mM)	4.5	12.6 ± 0.95	77.39
3	20 (17.6 mM)	36.4 (374.8 mM)	5	24 ± 0.85	73.71
4	25 (22 mM)	45.5 (468.5 mM)	6	34 ± 1	83.54
5	50 (44 mM)	91 (937 mM)	6.5	79 ± 1.5	97.05

163 Note: For calculations, degree of substitution was considered as 7.

### 164 2.3 Instrumentation for Sulfated-β-cyclodextrin characterization:

165 The **Differential Scanning Calorimetric (DSC)** analysis was performed using Mettler Toledo DSC 822 with a  
 166 temperature range of up to 500 °C. **Thermogravimetric analysis (TGA)** was performed using a Mettler  
 167 TGA/SDTA 8S<sup>o</sup> with a temperature range up to 600 °C. A JEOL 6310 **Scanning Electron Microscope (SEM)**  
 168 fitted with an Oxford Instruments ISIS. The **X-ray microanalysis** system was used for microscopic analysis. A  
 169 Jobin Yvon Horiba- ULTIMA 2C HR spectrometer was used for **Inductively Coupled Plasma- Optical**  
 170 **Emission Spectroscopic (ICP-OES)** analysis of the S-β-CDs, equipped with a dual photomultiplier tube detector  
 171 with High Dynamic Detection System (wavelength range: 160 nm- 800 nm). **Nuclear magnetic resonance**  
 172 **(NMR)** analyses of the samples were performed with a Bruker Avance III 400 MHz, FT-NMR spectrometer,  
 173 which includes a Microbay 2-channel console, a broadband multinuclear probe (BBFOPLUS) for observation of  
 174 nuclei in the frequency range <sup>15</sup>N to <sup>31</sup>P, <sup>19</sup>F with <sup>1</sup>H decoupling, observation, and 2 H lock, B-VT 3200 variable  
 175 temperature accessory for temperatures above ambient, WorkStation, and BOSS I shimmer system. Average  
 176 molecular weight determination of the samples by **Gel Filtration Chromatographic (GFC)** analysis was carried  
 177 out on Agilent 1200 series equipped with a refractive index (RI) detector. Two columns in series [Agilent PL-

178 aquagel OH MIXED M (molecular weight range: 1,000-150,000 Da; resin type: polystyrene/ divinylbenzene) and  
179 Phenomenex Biosep-SEC- s3000 (molecular weight range: 1,000 to 500,000; resin type: silica)] were used for  
180 average molecular weight determination of S- $\beta$ -CD. Polystyrene sulfonate standards with different molecular  
181 weights in the range of 1830- 425000 Da were used along with a low molecular weight molecule i.e., glucuronic  
182 acid (MW 194 Da) as standards. The mobile phase used was 0.05% sodium azide in water, pumped at 1 ml/min.  
183 To check the reproducibility of the synthesis of S- $\beta$ -CD3, four different batches were analyzed along with S- $\beta$ -  
184 CD1.

#### 185 **2.4 Instrumentation for HPLC**

186 The enantiomeric separation was carried out on a Jasco- 1500 series HPLC system comprising of an isocratic  
187 pump, a Rheodyne injector with a fixed loop of 20  $\mu$ l, and a UV detector. Borwin software was used for data  
188 processing. The analysis was carried out under isocratic elution at ambient temperature. Kromasil C<sub>8</sub> column (150  
189 X 4.6 mm, 5  $\mu$ m) was used for the study. Methanol and 5 mM KH<sub>2</sub>PO<sub>4</sub> containing 10 mM S- $\beta$ -CD3 (3.696 g for  
190 200 ml of aqueous phase) at pH 3 (adjusted with 10% OPA) in the ratio of 45:55, v/v was used as the mobile  
191 phase for enantiomeric separation of CP. The mobile phase was filtered through a 0.45  $\mu$ m nylon filter and  
192 sonicated for 5 minutes before use. The flow rate was maintained at 1 ml/min and the injection volume was 20  $\mu$ l.  
193 Detection was carried out at 225 nm.

#### 194 **2.5 Preparation of standard solutions**

195 Stock solutions of the racemic and pure enantiomer of cloperastine were prepared by dissolving 50 mg of each  
196 drug in 50 ml methanol separately. 5 ml of the above solutions were diluted to 100 ml with methanol to provide  
197 50  $\mu$ g/ml and 2 ml of this above solutions were further diluted to 10 ml using diluent to provide 10  $\mu$ g/ml, which  
198 was used for analysis. Diluents used for final dilutions were 5 mM KH<sub>2</sub>PO<sub>4</sub> and methanol (55:45, v/v).

#### 199 **2.6 Assay of levocloperastine in the formulation**

200 5 ml of Zerotuss syrup was transferred to a 100 ml volumetric flask and mixed with 80 ml of methanol: water  
201 (80:20, v/v). The solution was sonicated for 30 minutes and the volume was made up using the same solvent. An  
202 aliquot of the solution was filtered through a 0.45  $\mu$ m nylon filter and transferred to a 10 ml standard volumetric  
203 flask to yield a concentration of 10  $\mu$ g/ml.

#### 204 **2.7 Molecular Modeling**

205 Molecular modeling studies, particularly molecular docking simulations, were performed to estimate whether  
206 there is clear difference in the binding affinities of the enantiomers against S- $\beta$ -CD2. The coordinates for  $\beta$ -CD  
207 were imported from the protein data bank (PDB id: 3CGT) <DOI: 10.1021/bi9729918> [11]. The cyclodextrin  
208 glycosyltransferase protein was deleted and only  $\beta$ -CD coordinates were retained. The hydroxy groups in  $\beta$ -CD  
209 were modified to build S- $\beta$ -CD such that substitution number is 13. S- $\beta$ -CD was corrected by adding hydrogen  
210 atoms, adding bond orders and atom types were assigned as per AMBER force field and partial charges were  
211 computed using Gasteiger's method using AutoDock Tools-1.5.6 (MGL tools). The ligand for docking was also  
212 prepared similarly. The grid box of 25  $\text{Å}^3$  for docking was defined using the centre of mass of S- $\beta$ -CD. The grid  
213 maps were generated for the ligand atom types using the autogrid program. Molecular docking simulations were  
214 performed using Autodock4.2.6 (Scripps Research Institute, USA) < DOI: 10.1002/prot.10028> [12] using  
215 Lamarckian Genetic Algorithms. The population size was restricted to 500 for 100 runs of genetic algorithm. At

216 each generation 25 million energy evaluations were performed for 30,000 generations. The docking run was  
217 performed in triplicate and the results were pooled for analysis.  
218

### 219 3. Results and discussion

220 The purpose of the current investigation was to develop a cost-effective HPLC method for the enantioseparation  
221 of cloperastine without using expensive chiral columns. Cyclodextrins provide an effective way to develop a  
222 reversed-phase method for molecules that can form a host-guest complex with cyclodextrins. To obtain  
223 enantiomeric separation by HPLC, the structure of the analyte, cavity size of cyclodextrin, functional group  
224 present at cyclodextrin rim, and mobile phase composition play a vital role. To develop a cost-effective chiral  
225 HPLC method, beta-cyclodextrin and its derivatives hydroxypropyl beta-cyclodextrin and sulfated beta-  
226 cyclodextrin were evaluated as chiral mobile phase additives. As the marketed sulfated beta-cyclodextrin (S- $\beta$ -  
227 CD1) was expensive, sulfated beta-cyclodextrin was synthesized using chlorosulfonic acid (S- $\beta$ -CD2) and  
228 sulfamic acid (S- $\beta$ -CD3) in our laboratory. This helped in lowering the cost of analysis.

#### 229 3.1 Synthesis of sulfated beta-cyclodextrin

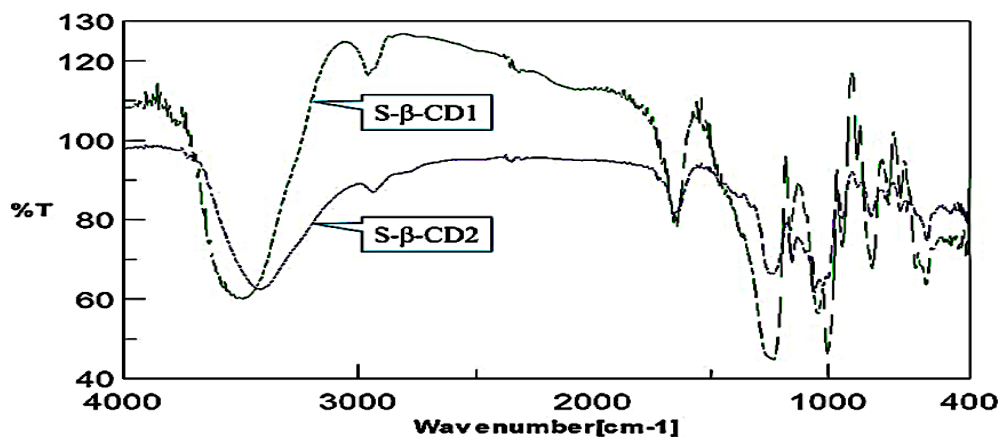
230 Sulfuric acid, chlorosulfonic acid, and sulfamic acid were tried as sulfonating agents for the synthesis of S- $\beta$ -CD  
231 using reported methods [13, 14, 15]. The problems associated with sulfonation using sulfuric acid and  
232 chlorosulfonic acid were scale-up issues and charring of the product. When sulfamic acid was used as the  
233 sulfonating agent using the procedure given in the literature [15], initially a dark brown product was obtained.  
234 Therefore, it was decided to modify the reported procedure, the reaction time, temperature, and volume of DMF  
235 were modified. The optimized procedure is given in the experimental section. The synthesized product was found  
236 to be cheaper than the marketed Sigma Aldrich product.

#### 237 3.2 Characterization of sulfated beta-cyclodextrin:

238 S- $\beta$ -CD1, S- $\beta$ -CD2, and S- $\beta$ -CD3 were characterized by FT-IR spectroscopy, NMR spectroscopy, GFC, thermal  
239 analysis, and SEM analysis. S- $\beta$ -CD1 and S- $\beta$ -CD3 were also analyzed for sulfur and sodium content by ICP-  
240 OES.

##### 241 1. FT-IR analysis

242 Overlay spectra of S- $\beta$ -CD2 (synthesized by chlorosulfonic acid) on S- $\beta$ -CD1 are given in **Figure 3**.

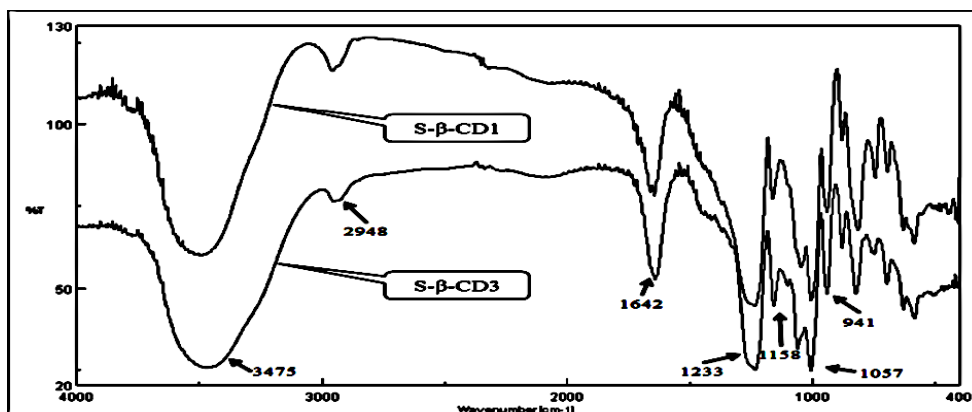


243  
244 **Figure 3: Overlay FT-IR spectra of S- $\beta$ -CD1 (Marketed) and S- $\beta$ -CD2 (Synthesized using chlorosulfonic  
245 acid)**

246 From Figure 3, it was observed that the IR band for OH and some other bands were not similar for S- $\beta$ -CD1 and



247 S- $\beta$ -CD2. Overlay spectra of S- $\beta$ -CD1 and S- $\beta$ -CD3 (synthesized by sulfamic acid) are given in **Figure 4**.

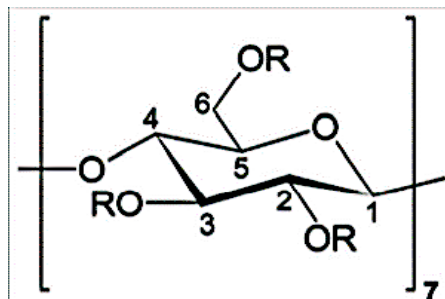


248  
249 **Figure 4: Overlay FT-IR spectra of S- $\beta$ -CD1 and S- $\beta$ -CD3**

250  
251 As seen in figure 4, the spectrum of S- $\beta$ -CD3 is identical to S- $\beta$ -CD1 and has been discussed in our previous  
252 publication [16]. Differences were observed in the case of S- $\beta$ -CD3, in the region of 1000  $\text{cm}^{-1}$  and 500  $\text{cm}^{-1}$ , as  
253 seen in figure 5.

## 254 2. Nuclear magnetic spectroscopic (NMR) analysis

255 Beta-cyclodextrin monomer has three possible sites for sulfonation. The structure of beta-cyclodextrin along with  
256 the numbering of carbons and corresponding protons of each monomer unit is shown in **Figure 5**.



257  
258 **Figure 5: Structure of beta-cyclodextrin along with the numbering of carbons and corresponding protons**  
259 **of each monomer**

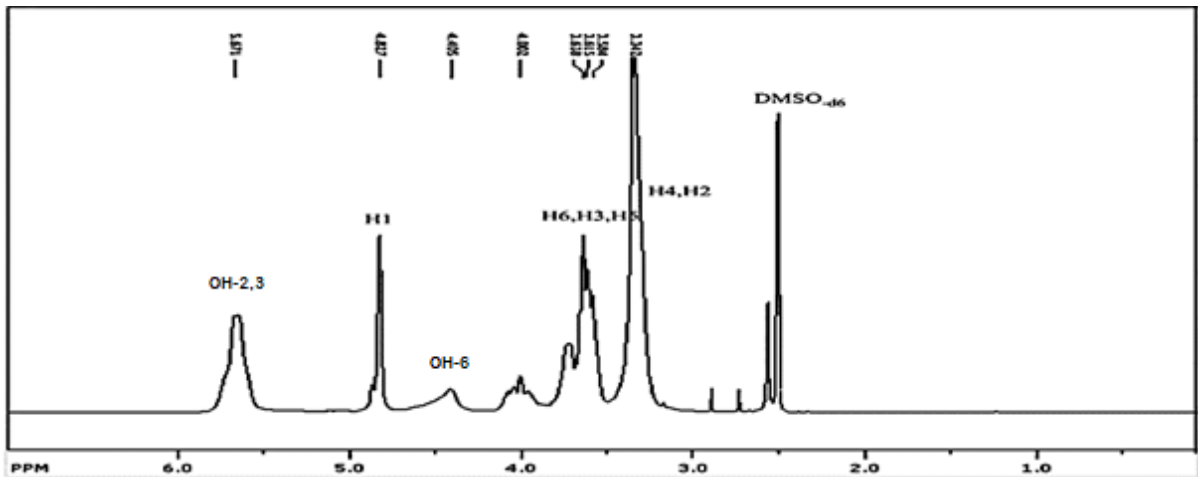
260 Proton NMR and DEPT experiments were carried out for the synthesized S- $\beta$ -CD. Both the spectra were recorded  
261 by dissolving the sample in deuterated DMSO. Due to the poor solubility of S- $\beta$ -CD1 and S- $\beta$ -CD2, signals were  
262 weak and the spectra were not well resolved.  $^1\text{H}$  and DEPT-135 spectra of S- $\beta$ -CD3 are shown in **Figures 6 and**  
263 **7** respectively and the assignment is summarized in Table 2. The  $^1\text{H}$  NMR showed broad peaks for the hydroxyl  
264 protons at 5.6-5.8 ppm (OH-2,3) and 4.4-4.5 ppm (CH<sub>2</sub>OH-6). The remaining protons were assigned as follows:  
265 4.8 ppm (H-1), 3.6-3.8 ppm (H-6, H-3, H-5), and 3.2-3.4 ppm (H-4, H-2). The DEPT-135 experiment showed  
266 peaks for the ring carbons C-2, C-3, C-5 clustered in the region 72-74 ppm while C-4 is observed at 82 ppm and  
267 the anomeric carbon C-1 at 102 ppm. The assignments were confirmed by the correlation HSQC spectrum **Figure**  
268 **8** which also indicated some overlapping. The CH<sub>2</sub>OH carbons appeared in the region of 60-66 ppm as negative  
269 signals, indicating two different chemical environments. Positions 2, 3, and 6 of the sugar units of  $\beta$ -CD are  
270 possible sites of sulfonation. The poorly resolved signals in the  $^1\text{H}$  spectra demonstrate the heterogeneity of the  
271 CDs depending on sulfonation degree.

272 **Table 2:  $^1\text{H}$  and  $^{13}\text{C}$  NMR peak assignments for Sulfated- $\beta$ -cyclodextrin (synthesized with sulfamic acid)**

$^1\text{H}$ $\delta$ /ppm		$^{13}\text{C}$ $\delta$ /ppm	
H-1	4.8	C-1	102
H-2	3.2-3.4	C-2	72-74

H-3	3.6-3.8	C-3	72-74
H-4	3.2-3.4	C-4	82
H-5	3.6-3.8	C-5	72-74
H-6	3.6-3.4	C-6	65
OH-2	5.6-5.8		
OH-3	5.6-5.8		
CH <sub>2</sub> OH-6	4.4-4.5		

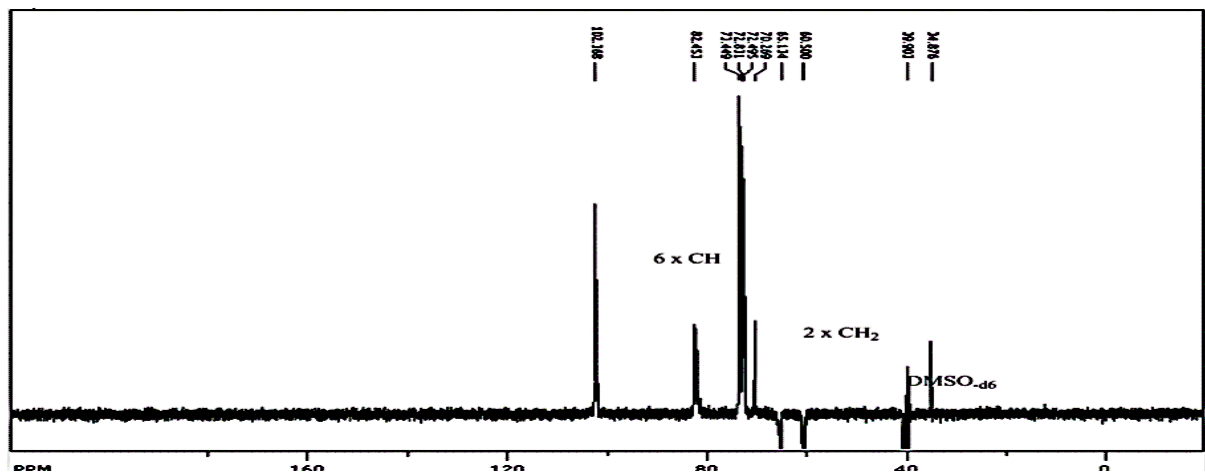
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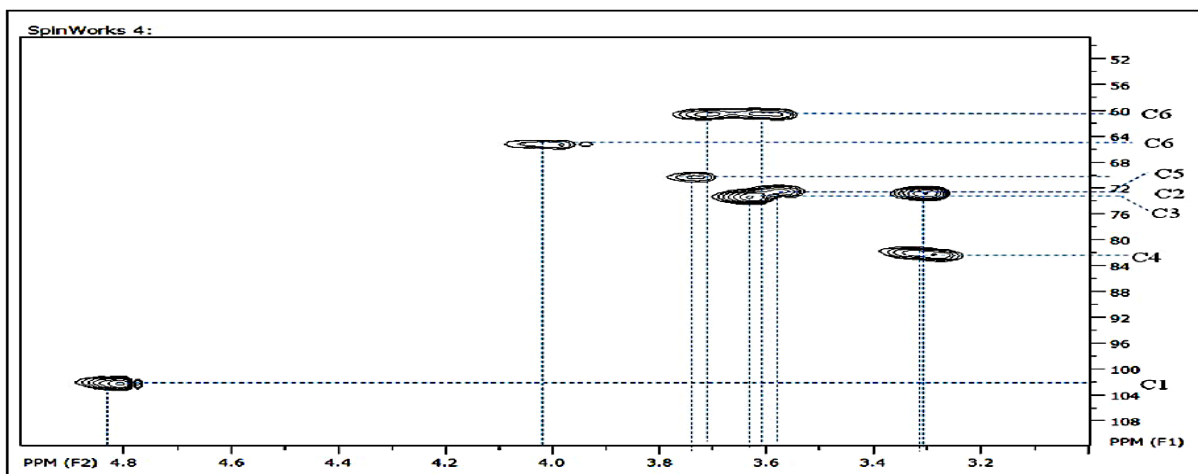
Figure 6: <sup>1</sup>H spectrum of S-β-CD3



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Figure 7: DEPT-135 spectrum of S-β-CD3



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Figure 8: HSQC spectrum of S-β-CD3

### 3. Inductively coupled plasma-optical emission spectrometric (ICP-OES) analysis

ICP-OES analysis was carried out for sodium and sulfur for both samples. The instrument was calibrated with pure standards of sulfur and sodium, ahead of the analysis, and fresh solutions with a concentration of 10 g/l were prepared for both S- $\beta$ -CD by dissolving 0.1 g of the samples in 10 ml of water. The results are illustrated in Table 3.

**Table 3: ICP-OES analysis for sulfur and sodium**

Sample	Mass (g)	Volume (ml)	Na mg/dm <sup>3</sup>	S mg/dm <sup>3</sup>
S- $\beta$ -CD1	0.25	25	1041.5	2452.0
S- $\beta$ -CD3	0.25	25	848.6	2263.8

As seen in table 1, S- $\beta$ -CD1 has a higher concentration of sulfur and sodium, which indicates that the degree of sulfonation is higher in S- $\beta$ -CD1.

### 4. Mass analysis by Gel Filtration Chromatography

The results are illustrated in Table 4.

**Table 4: Comparison of various batches of synthesized sulfated beta-cyclodextrin by Gel Filtration Chromatography**

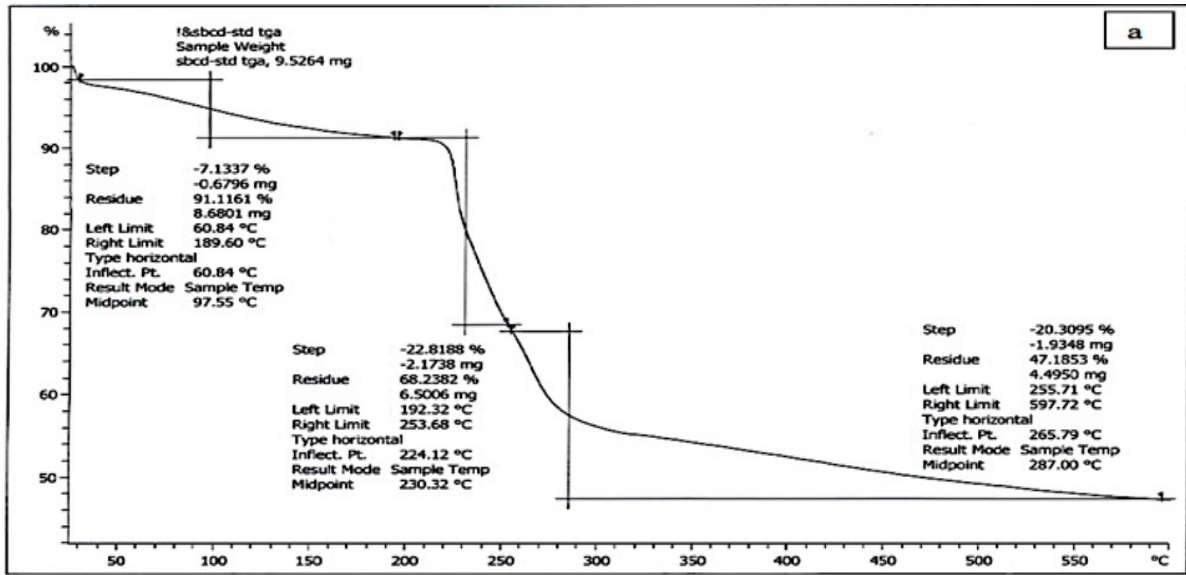
Sr. no.	Sample	Average molecular weight (Da)	Molecular weight distribution (%)			Average Degree of substitution
			0-1000 Da	1000-2000 Da	2000-4000 Da	
1	S- $\beta$ -CD1	2251	0.01	16.33	83.66	14
2	S- $\beta$ -CD3 batch 1	2132	0.03	33.37	66.60	13
3	S- $\beta$ -CD3 batch 2	2124	0.01	34.74	65.25	13
4	S- $\beta$ -CD3 batch 3	2157	0.08	29.29	70.63	13
5	S- $\beta$ -CD3 batch 4	2157	0.10	29.24	70.66	13

As seen in table 2, the batch-to-batch variation in terms of molecular weight and molecular weight distribution is minimal for S- $\beta$ -CD3 indicating the reproducibility of the method of synthesis. It also shows that the synthesized S- $\beta$ -CD closely resembles the marketed S- $\beta$ -CD. Multiple peaks of lower molecular weight in the case of S- $\beta$ -CD3 indicate the fragmentation of the beta-cyclodextrin ring possibly during the addition of a strong sulfonating reagent i.e., chlorosulfonic acid. As the C-3 carbon in the beta-cyclodextrin structure is sterically hindered, at this position, chances of sulfonation are minimized. As the average degree of substitution is 14 and 13 for S- $\beta$ -CD1 and S- $\beta$ -CD3, respectively, the molecule is sulfated mainly at the C-2 and C-6 carbon.

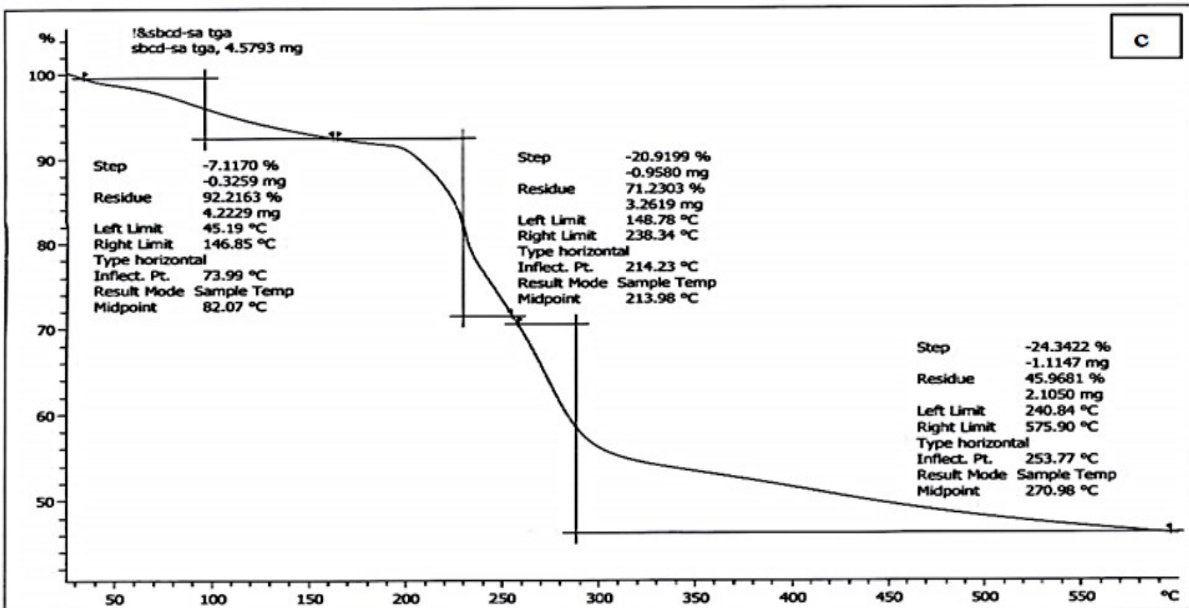
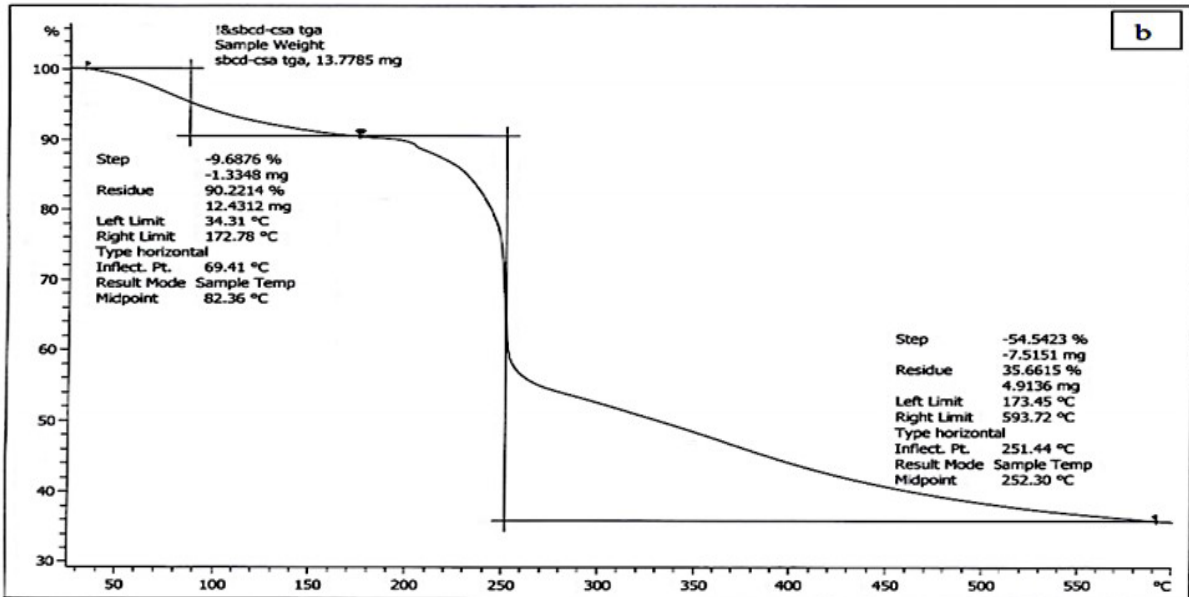
### 5. Thermal analysis

Thermogravimetric analysis (TGA) and differential scanning calorimetric (DSC) analysis were performed for S- $\beta$ -CD1, S- $\beta$ -CD2, and S- $\beta$ -CD3. The TGA and DSC thermograms are shown in Figures 9 and 10, respectively. The thermogravimetric analysis showed a fairly small mass loss (in the range of 7-9 %) for both the samples up to around 180 °C. This can be attributed to the loss of adsorbed water and/or residual solvent. Both the samples then showed a significant mass loss at around 200 °C indicative of the major thermal degradation of the sample.

309 The mass loss after this event was about 52 %. The samples then slowly degraded further as the temperature was  
 310 taken higher.

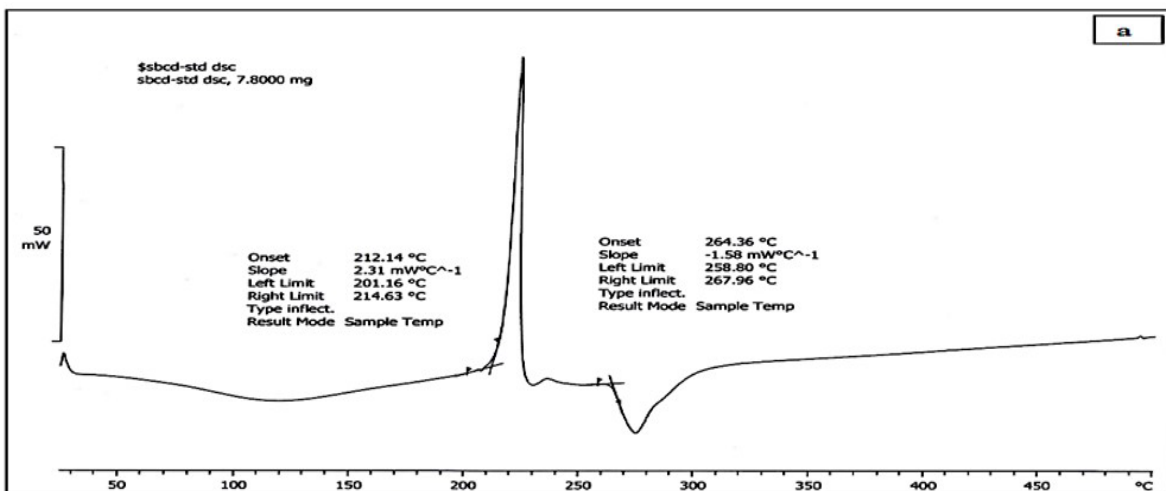


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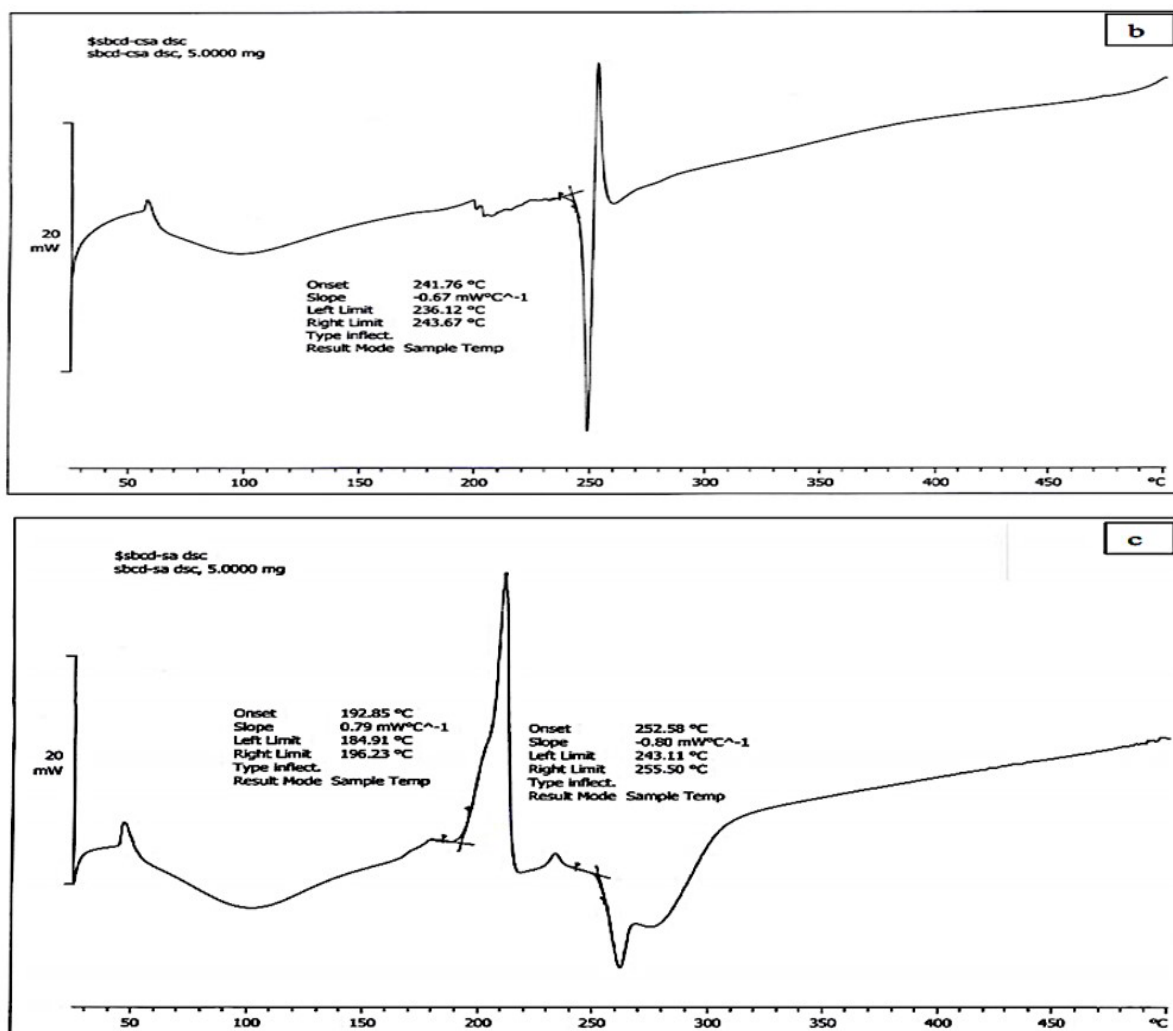


312  
313

Figure 9: TGA thermogram of a. S-β-CD1 b. S-β-CD2 c. S-β-CD3



314



315  
316 **Figure 10: DSC thermogram of a. S-β-CD1 b. S-β-CD2 c. S-β-CD3**

317 DSC thermogram showed that the melting process was overlapping an exothermal decomposition temperature.  
318 The onset of crystallization and the melting temperature was marginally higher for S-β-CD1, suggesting that it is  
319 more thermostable than S-β-CD2. The thermogram curve of S-β-CD3 showed that the endothermic melting peak  
320 was sharp followed by a rapid exothermic decomposition. Melting and crystallization temperature is given in  
321 **Table 5.**

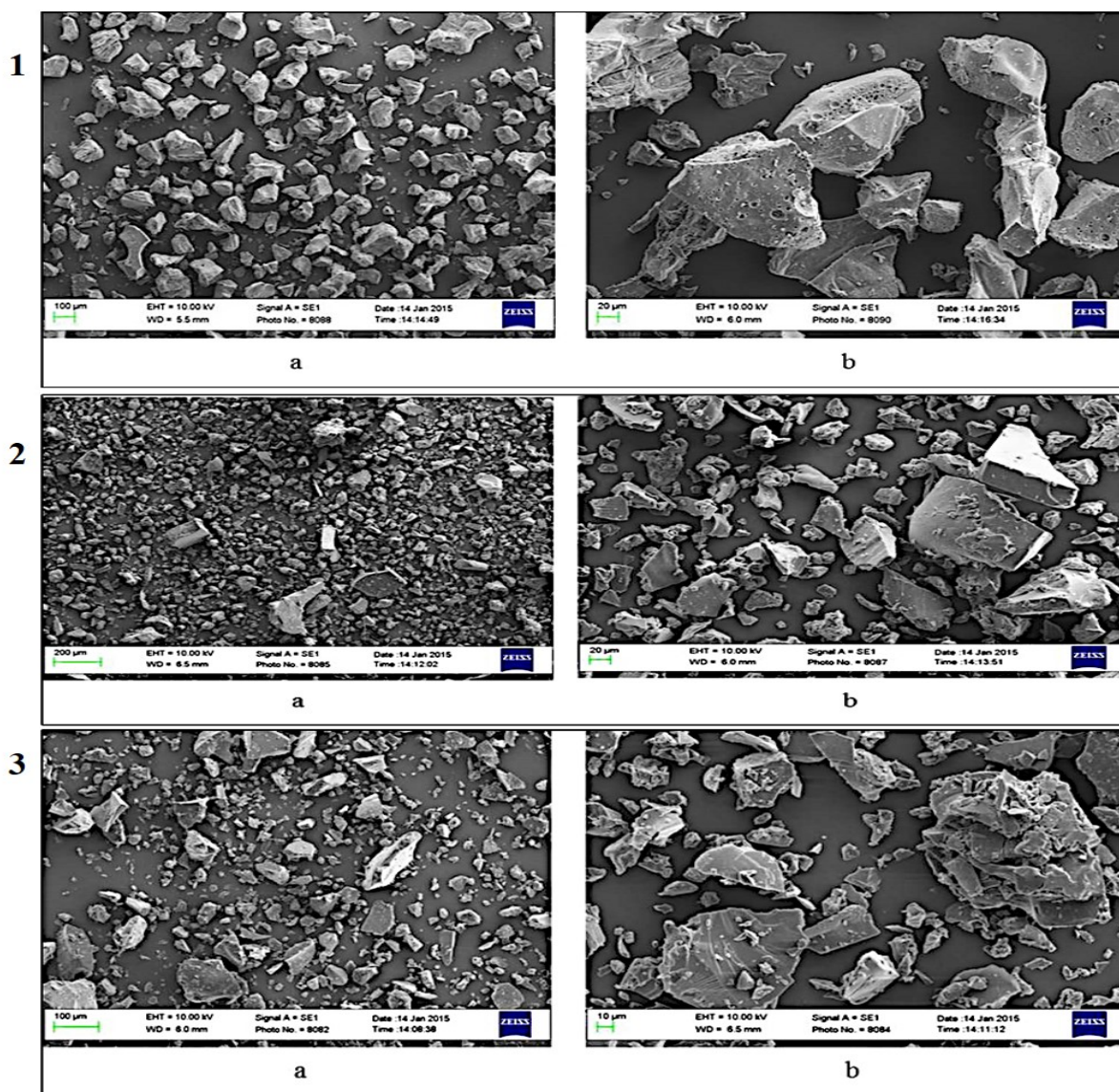
322  
323 **Table 5: Melting and crystallization temperatures for S-β-CD samples**

Sample	Crystallization temperature (°C)	Melting temperature (°C)
S-β-CD1	212.14	264.36
S-β-CD2	192.85	252.58
S-β-CD3	-	241.76

324  
325 **6. Scanning electron microscopic (SEM) analysis**

326 SEM images of S-β-CD1, S-β-CD2, and S-β-CD3 are given in **Figures 11**. SEM images showed that the particle  
327 size distribution is more uniform in the case of the marketed sample. On a grain basis, the particles show a pumice-  
328 like appearance for S-β-CD1 that is expected to increase the surface area. The particle size range in S-β-CD2 is  
329 much more varied i.e., very small particles intermingled with large ‘boulders’. The morphology is more varied  
330 with a range of different shapes and a great deal of angularity. S-β-CD3 has several small particles of similar size

331 interspersed with several large chunks. The smaller particles are relatively non-angular while the bigger pieces  
332 are quite angular. The pumice-like appearance was not observed in the synthesized products i.e. S- $\beta$ -CD2 and S-  
333  $\beta$ -CD3.



334  
335 **Figure 11: Scanning electron microscopic analysis a. SEM image b. enlarged view of 1.S- $\beta$ -CD1, 2.S- $\beta$ -CD2,**  
336 **3. S- $\beta$ -CD3**

### 337 338 **3.3 Enantiomeric separation of cloperastine by chiral mobile phase additive**

339 The focus of the current study was an enantiomeric separation of cloperastine using CMPAs on an achiral  
340 stationary phase. A Kromasil C<sub>8</sub> (150 x 4.6 mm, 5  $\mu$ m) column was used for the analysis.  $\beta$ -CD and its derivatives  
341 HP- $\beta$ -CD and S- $\beta$ -CD were evaluated as CMPAs. The parameters affecting the resolution were optimized, and  
342 the results were compared with the marketed product. For the method development, a concentration of 10  $\mu$ g/ml  
343 of racemic cloperastine was used. The method development was started with the mobile phase composition of  
344 methanol: buffer (40:60) and based on results it was modified. As CMPA is added to the aqueous phase of the  
345 mobile phase, more of the aqueous phase is desired for enantiomeric separation.

346  
347

348 **1. Effect of  $\beta$ -cyclodextrin and its derivatives on resolution:**

349  $\beta$ -CD, HP- $\beta$ -CD, and S- $\beta$ -CD were evaluated as CMPAs for the enantiomeric resolution of cloperastine. The  
 350 reports in the literature and our previous experience suggest that phosphate buffer with acidic pH and methanol is  
 351 preferred for resolving the enantiomers of some chiral drugs [5, 16-19]. Hence, the pH of  $\text{KH}_2\text{PO}_4$  containing  
 352 chiral additive was adjusted to 3, and methanol was used as an organic component in the mobile phase. As CMPA  
 353 is added in the aqueous phase of the mobile phase, more of it is required; hence, the HPLC method development  
 354 was started with the mobile phase composition of methanol: buffer (40:60). It was further changed to obtain the  
 355 anticipated results. **Table 6** shows the effect of  $\beta$ -CD, HP- $\beta$ -CD, and S- $\beta$ -CD on the resolution of cloperastine.

356 **Table 6: Effect of beta-cyclodextrin and its derivatives on resolution and retention time of cloperastine**  
 357 **enantiomers**

Sr. No.	Type of chiral additive and concentration	% Methanol	Retention time of cloperastine enantiomers (minutes)		Resolution
			Levo	Dextro	
1	10 mM $\beta$ -CD	40	27.3	28.0	0.83
2	10 mM $\beta$ -CD	30	81.4	84.1	0.85
3	12 mM $\beta$ -CD	30	78.3	80.8	0.89
4	15 mM $\beta$ -CD	30	63.1	65.3	1.01
5	10 mM HP- $\beta$ -CD	30	86.8		-
6	20 mM HP- $\beta$ -CD	30	34.2		-
7	10 mM S- $\beta$ -CD 1	30	76.2	91.4	4.72
8	10 mM S- $\beta$ -CD 1	40	30.7	34.8	3.10
9	10 mM S- $\beta$ -CD 1	45	20.2	22.2	2.16
10	8 mM S- $\beta$ -CD 1	45	25.7	26.9	1.45
11	10 mM S- $\beta$ -CD 2	45	22.3	23.1	1.16
12	15 mM S- $\beta$ -CD 2	45	18.1	19.5	1.39
13	10 mM S- $\beta$ -CD 3	40	20.8	24.4	4.12
14	10 mM S- $\beta$ -CD 3	45	11.6	13.5	3.14
15	12 mM S- $\beta$ -CD 3	45	11.2	12.9	2.53
16	15 mM S- $\beta$ -CD 3	45	10.9	12.1	2.23

358 \*Other chromatographic conditions: flow rate: 1 ml/min; Column: Kromasil C<sub>8</sub> (150 x 4.6 mm, 5  $\mu$ m) Detection wavelength:  
 359 225 nm

360  
 361 As seen in **Table 6**, resolution increases and retention time decreases with an increase in the concentration of the  
 362 chiral additive in the mobile phase. A maximum resolution of 1.01 was obtained with 15 mM  $\beta$ -CD in the mobile  
 363 phase. With  $\beta$ -CD as a chiral selector, the retention times for enantiomers were too long (76 and 79 minutes for  
 364 levo and dextro enantiomers respectively). Limited aqueous solubility of  $\beta$ -CD restricted its concentration to 15  
 365 mM in the mobile phase. Hence, HP- $\beta$ -CD, a derivative of beta-cyclodextrin that has a higher degree of aqueous  
 366 solubility, was employed as CMPA. No resolution was obtained with HP- $\beta$ -CD. This might be because of the  
 367 presence of a hydroxypropyl group on either side of the cavity, resulting in the extension of the non-polar cavity.  
 368 The cavity size plays a significant role in the formation of host-guest complex formation, and the extended cavity  
 369 size in HP- $\beta$ -CD may have hampered the inclusion complex formation, hence resolution was lost. The use of S-  
 370  $\beta$ -CD1 and S- $\beta$ -CD3 in the mobile phase significantly improved the resolution of cloperastine enantiomers. S- $\beta$ -  
 371 CD2 failed to provide the baseline separation of enantiomers possibly due to the disruption of the  $\beta$ -CD structure  
 372 as indicated by GFC analysis. S- $\beta$ -CD3 provided better resolution than S- $\beta$ -CD1 in a shorter run time; hence,



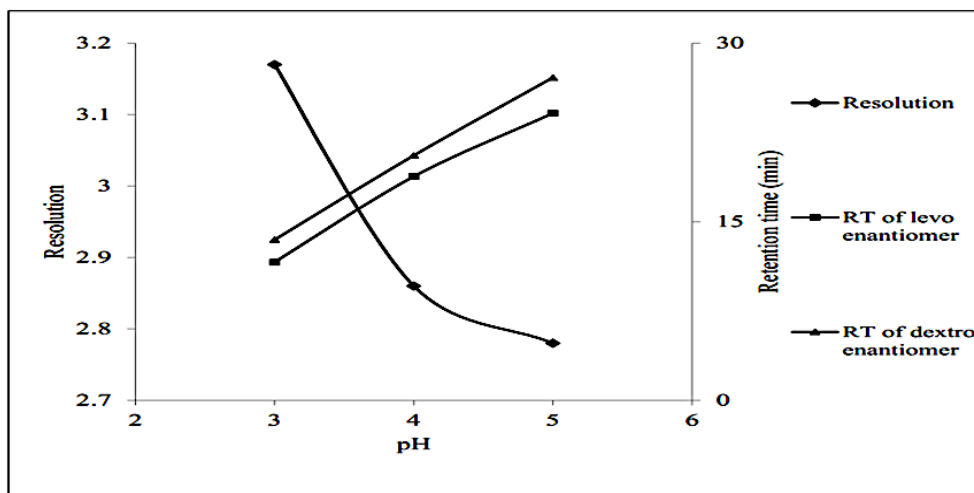
373 further studies were carried out using S- $\beta$ -CD3. As seen in Table 4, the highest resolution of 3.14 was observed  
374 at 10 mM concentration of S- $\beta$ -CD3 in the mobile phase; hence, it was selected as the optimum concentration for  
375 the resolution of cloperastine enantiomers.

### 376 2. Effect of type and concentration of buffer on resolution:

377  $\text{KH}_2\text{PO}_4$ , ammonium acetate, and triethylammonium acetate buffers were evaluated for their effect on the  
378 resolution of cloperastine enantiomers. Mobile phases containing ammonium acetate and triethylammonium  
379 acetate with 10 mM S- $\beta$ -CD3 and 45% methanol did not give baseline resolution. Baseline resolution was obtained  
380 when  $\text{KH}_2\text{PO}_4$  containing 10 mM S- $\beta$ -CD3 and 45% methanol was used as the mobile phase. The effect of 5, 10,  
381 and 20 mM  $\text{KH}_2\text{PO}_4$  was evaluated. No significant difference was observed in the resolution over the  
382 concentration range. Hence, it was decided to proceed with the mobile phase of 5 mM  $\text{KH}_2\text{PO}_4$  for further analysis.

### 383 3. Effect of pH and organic component on resolution:

384 Chiral recognition is based on the formation of reasonably stable complexes between the enantiomers and S- $\beta$ -  
385 CD3, and pH plays a vital role in maintaining the stability of these complexes [5]. The influence of pH in the  
386 range of 3-5 on the resolution and retention of enantiomers was investigated. As shown in **Figure 12**, lower values  
387 of pH led to a decrease in retention time and an increase in resolution.



388  
389 **Figure 12: Effect of pH on resolution and retention time**

390 Cloperastine is a basic drug with  $\text{pK}_a = 8.69$ , which will exist in the protonated form at acidic pH. In acidic pH,  
391 due to the presence of piperidine ring, CP mainly exists in cationic form and S- $\beta$ -CD exists in anionic form. This  
392 ensures the multipoint interactions between the cyclodextrin moiety and the enantiomers leading to higher chiral  
393 recognition. From figure 13, it was observed that the increase in the pH resulted in an increase in the retention  
394 times and a reduction in the resolution between the enantiomers. At pH 3.0, the highest resolution was observed  
395 with relatively lower retention times. Therefore, pH 3.0 was selected as the optimum condition for the analysis.

396 Acetonitrile and methanol at various compositions were evaluated as an organic component in the mobile phase  
397 and the highest resolution with a shorter run time was achieved with methanol. The optimized mobile phase for  
398 the enantioseparation of cloperastine was 5 mM  $\text{KH}_2\text{PO}_4$ , containing 10 mM S- $\beta$ -CD3 (pH 3) and methanol  
399 (55:45), which resulted in a resolution of 3.14, with a run time of 15 minutes. Under this optimized condition, S-  
400  $\beta$ -CD1 was evaluated as CMPA; it gave a lower resolution of 2.16, with a run time of 25 minutes (Figure 14).

401  
402

403 **4. Chiral separation mechanism:**

404 During HPLC method development, the reduction in the retention times provided evidence of inclusion complex  
405 formation between CP enantiomers and chiral selector. The inclusion of the chlorophenyl group of CP enantiomers  
406 in the hydrophobic cavity of cyclodextrin was most probably involved with  $\beta$ -CD, Hp- $\beta$ -CD, and S- $\beta$ -CD.  
407 However,  $\beta$ -CD and Hp- $\beta$ -CD being neutral at mobile phase pH, may not form inclusion complexes with CP  
408 enantiomers, which can be separated on the HPLC system. Hence, a baseline resolution was not obtained with  $\beta$ -  
409 CD and/or Hp- $\beta$ -CD. S- $\beta$ -CD is an anionic cyclodextrin and hence, polar interactions (ionic interactions and  
410 hydrogen bonding) between the positively charged amino group of CP enantiomers and negatively charged sulfate  
411 groups lead to stable inclusion complexes. The HPLC system was able to discriminate the two diastereomeric  
412 inclusion complexes and thus a baseline resolution was obtained. The formation of diastereomeric inclusion  
413 complexes was confirmed by molecular docking studies (Section 3.6).

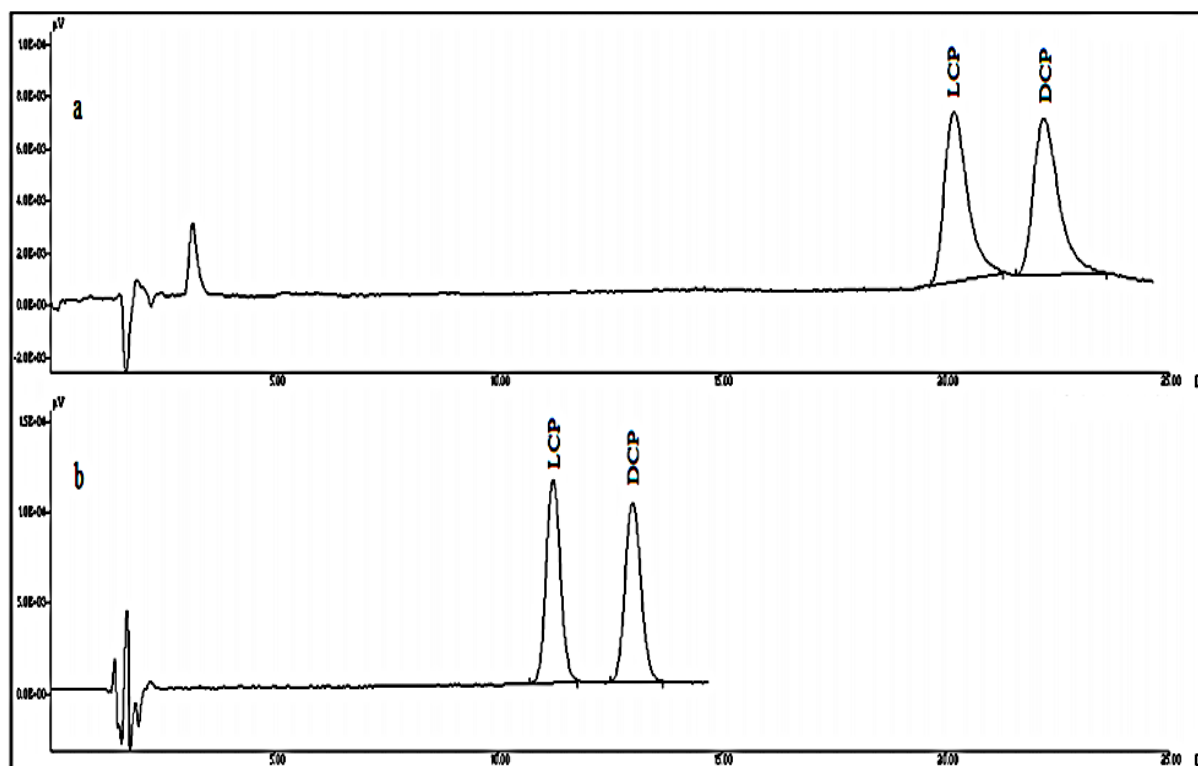
414

415 **3.4 Method validation:**

416 The optimized method using S- $\beta$ -CD3 was validated according to ICH guidelines.

417 **1. Specificity:**

418 Specificity was evaluated by injecting a racemic solution of 10  $\mu$ g/ml of racemic CP. The resolution of 3.14 was  
419 achieved (**Figure 13b**) which confirmed the specificity of the method. The order of the elution was determined  
420 by injecting levocloperastine.



421 \* LCP: Levocloperastine; DCP: Dextrocloperastine, a. Using 10 mM S- $\beta$ -CD1, b. Using 10 mM S- $\beta$ -CD3  
422 Chromatographic conditions: 1) Mobile Phase: Methanol: 5 mM potassium dihydrogen orthophosphate Buffer with CMPA,  
423 pH 3.0, (55:45, v/v); 2) Column: Kromasil C<sub>8</sub>, 150 x 4.6 mm, 5  $\mu$ m; 3) Column temperature: Ambient; 4) Flow rate: 1  
424 ml/minute; 5) Detection wavelength: 225 nm  
425

426 **Figure 13: Enantiomeric separation of cloperastine a) using S- $\beta$ -CD1 and b) using S- $\beta$ -CD3**

427

428 The results of HPLC method validation for the separation of enantiomers of cloperastine are summarized in Table

429 7.  
 430  
 431  
 432

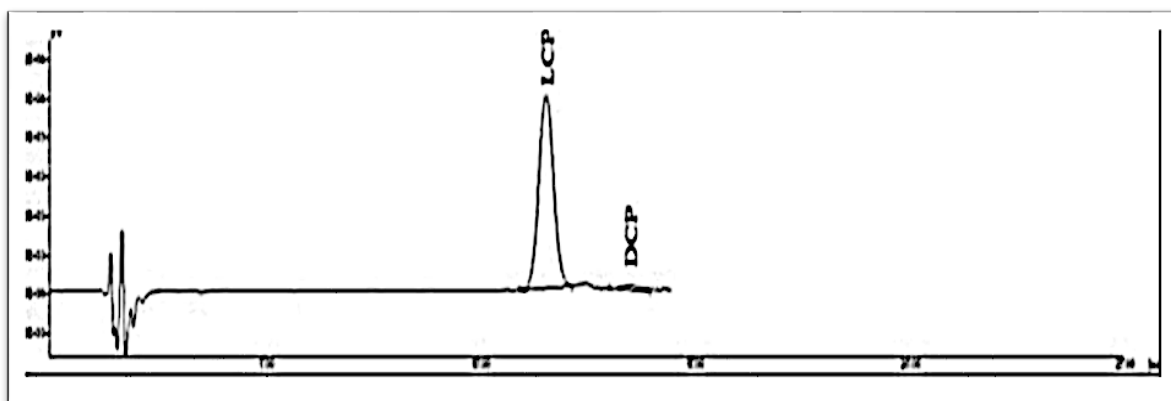
**Table 7: The summary of results of HPLC method validation for separation of enantiomers of cloperastine**

Sr. No.	Validation parameters	Checkpoints	Results	
			Levocloperastine	Dextrocloperastine
1	Linearity and range	0.5- 10 µg/mL (0.5, 1, 2.5, 5, 7.5, 10)	$r^2 = 0.9998$ $y = 46050x + 3189.4$	$r^2 = 0.9998$ $y = 45771x + 3744.4$
2	Accuracy	5, 10, 15 µg/mL (Recovery studies)	99.1 %	101.0%
3	Precision	5, 10, 15 µg/mL (Intra-day and Interday)	RSD less than 2% for RT and Area	RSD less than 2% for RT and Area
4	LOD and LOQ	0.125- 0.625 µg/mL (0.125, 0.25, 0.375, 0.5, 0.625)	13.1 and 39.7 ng/mL $y = 49936x - 121.28$ $r^2 = 0.9996$	12.5 and 38.0 ng/mL $y = 48914x + 270.32$ $r^2 = 0.9996$
5	Robustness	<ul style="list-style-type: none"> <li>Flow rate (<math>\pm 0.2</math> ml/min)</li> <li>Mobile phase ratio (<math>\pm 1</math> ml methanol)</li> <li>The pH of the mobile phase (<math>\pm 0.1</math> unit)</li> </ul>	In all cases resolution was greater than 2.2, no change in accuracy and precision was observed.	

433 \*  $r^2$ : correlation coefficient, n=3 for each concentration  
 434

### 435 3.5 Application of the method

436 The validated method can be used for the qualitative and quantitative determination of cloperastine enantiomers  
 437 from various matrices. The method was used for the determination of enantiomeric purity in pharmaceutical  
 438 formulation. The sample of Zerotuss was found to contain 102.29% of the stated amount of levocloperastine and  
 439 the assay chromatogram of the marketed formulation is shown in **Figure 14**.



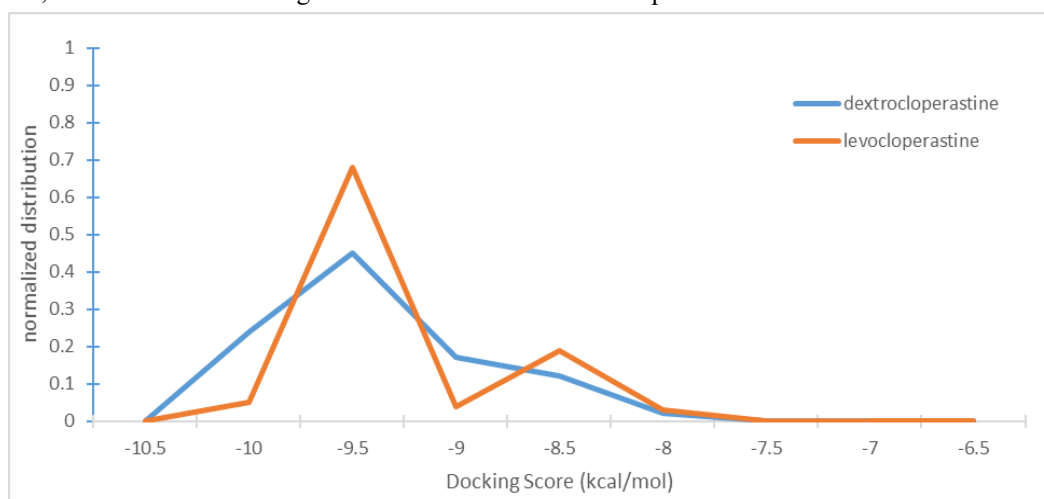
440  
 441 **Figure 14: Chromatogram of assay of LCP in API and pharmaceutical formulation**  
 442

### 443 3.6 Molecular modeling

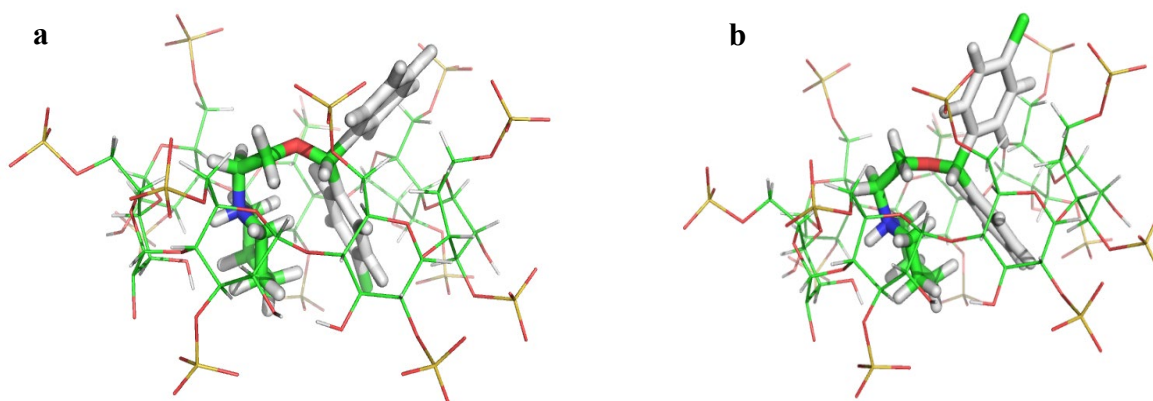
444 Molecular modeling studies are generally undertaken to give directions to the experimental work and therefore,  
 445 we employed molecular docking to understand the subtle differences in the binding of enantiomers to S-β-CD2  
 446 leading to enhanced chiral separation. Molecular docking predicted that dextrocloperastine exhibits tighter  
 447 binding than levocloperastine to S-β-CD2. This is exemplified by the fact that approximately 1/4<sup>th</sup> of the  
 448 dextrocloperastine population (out of 300 docked conformations) showed docking score more -10.0 kcal/mol  
 449 whereas only 1/20<sup>th</sup> of the levocloperastine population could attain this binding strength. Therefore, from docking

450 studies we infer that levocloperastine will elute first from the column which is also seen in the experimental studies  
451 (vide infra). However, using the current docking results it was not possible to compute the resolution for  
452 separation, nonetheless the docking results corroborates with the experimental results.

453



454 **Figure 15: Analysis of molecular docking simulations using the plot of normalized distribution versus**  
455 **docking score.**



456

457 **Figure 16: Docked structure of S-β-CD with (a) Dextro-cloperastine and (b) Levocloperastine**  
458

#### 459 **4. Conclusion:**

460 A simple and cost-effective method was developed for the synthesis of sulfated beta-cyclodextrin using sulfamic  
461 acid as a sulfonating agent. The synthesized product was characterized using various techniques and it was  
462 observed to be like the marketed product. The synthesized S-β-CD (10 mM) was applied as a chiral mobile phase  
463 additive for the enantiomeric separation of cloperastine and the enantiomers were resolved with a resolution value  
464 of 3.14 within a run time of 15 minutes. Synthesized S-β-CD gave better resolution than marketed S-β-CD in a  
465 shorter run time. The developed method was validated and applied for the quantitative determination of  
466 cloperastine enantiomers in pharmaceutical formulation. The reported chiral method can be used for the  
467 enantiomeric purity determination of the two enantiomers of cloperastine in any unknown matrices. Most  
468 probably, inclusion complex formation and hydrogen bonding were the major forces for the chiral recognition.  
469 The limitations of the validated HPLC methods are the high consumption of chiral selector and the unavailability

470 of inexpensive S- $\beta$ -CD commercially. To reduce the high consumption of S- $\beta$ -CD, in future studies, achiral HPLC  
471 columns with different achiral support and column dimensions, for example, core-shell silica column or HILIC  
472 column, will be evaluated. The reported method of synthesis of S- $\beta$ -CD can be used to obtain low-cost S- $\beta$ -CD.

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477 studies.

478

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