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1 2 A consensus statement for the management and rehabilitation of communication and 3 swallowing function in the ICU: A global response to COVID-19 4 5 Amy Freeman-Sanderson, Ph.D.<sup>1,2,3</sup> 6 Elizabeth C. Ward, Ph.D.4,5 7 Anna Miles, Ph.D.<sup>6</sup> Irene de Pedro Netto, Ph.D.<sup>7,8</sup> 8 Sallyanne Duncan, M.Sc.<sup>9,10</sup> 9 Yoko Inamoto, Ph.D.<sup>11</sup> 10 Jackie McRae, Ph.D.<sup>12,13</sup> 11 Natasha Pillay, M.S.<sup>14,15</sup> 12 Stacey A. Skoretz, Ph.D.<sup>16,17,18</sup> 13 Margaret Walshe, Ph.D.<sup>19</sup> 14 Martin B. Brodsky, Ph.D., Sc.M. 20,21,22 15 16 On behalf of the COVID-19 SLP Global Group 17 18 1 Graduate School of Health, University of Technology, Sydney, NSW, Australia 2 19 Royal Prince Alfred Hospital, Sydney, NSW, Australia 3 20 Critical Care Division, The George Institute for Global Health, Sydney, NSW, Australia 4 21 Centre for Functioning and Health Research, Metro South Hospital and Health Service 22 5 School of Health and Rehabilitation Sciences, The University of Queensland 23 6 Speech Science, The University of Auckland, Auckland, New Zealand 7 24 Núcleo de Cardiologia/Centro de Reabilitação Cardiopulmomar. Hospital Sírio Libanês. 25 Sao Paulo, Brazil 26 8 Comitê de Fonoaudiologia, BRASPEN/ SBNPE (Sociedade Brasileira de Nutricão 27 Parenteral e Enteral 9 28 Wellcome Wolfson Institute for Experimental Medicine, Queen's University Belfast 29 10 Speech and Language Therapy, Belfast Health and Social Care Trust 30 11 Faculty of Rehabilitation, School of Health Sciences, Fujita Health University, Nagoya, 31 Japan 12 32 School of Allied Health, Midwiferv and Social Care Faculty of Health, Social Care and 33 Education, Kingston and St George's, University of London Speech and Language Therapy, University College London Hospitals NHS Foundation 34 13 35 Trust 14 36 Life The Crompton Hospital, Pinetown, KZN, South Africa 37 15 Life Westville Hospital, Durban, South Africa 38 16 School of Audiology and Speech Sciences, University of British Columbia, Vancouver, 39 British Columbia, Canada 17 40 Department of Critical Care Medicine, University of Alberta, Edmonton, Alberta, Canada 41 18 Centre for Heart Lung Innovation, St. Paul's Hospital, Vancouver, British Columbia, 42 Canada 19 43 Department of Clinical Speech and Language Studies, Trinity College, Dublin, Ireland 20 44 Department of Physical Medicine and Rehabilitation, Johns Hopkins University, 45 Baltimore, MD, United States 46 21 Division of Pulmonary and Critical Care Medicine, Johns Hopkins University, Baltimore, 47 MD, United States 22 Outcomes After Critical Illness and Surgery (OACIS) Research Group, Johns Hopkins 48 49 University, Baltimore, MD, United States 50 51 The content of this manuscript was not presented • 52 There was no financial support for this manuscript. • Associate Professor M.B. Brodsky discloses a relationship with MedBridge Inc. Nil other 53 •

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55 56 57	Key words/MeSH terms:
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60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79	Word counts: Abstract (245 / 300); manuscript (3093/ 3000) <u>Corresponding author</u> : Amy Freeman-Sanderson, Ph.D. University of Technology Sydney Graduate School of Health PO Box 123 Broadway, NSW, 2007 Australia Phone: +61 (2)95147201 Email: <u>amy.freeman-sanderson@uts.edu.au</u>

81	ABSTRACT
82	Objective
83	To identify core practices for workforce management of communication and swallowing
84	functions in COVID-19 positive patients within the ICU.
85	
86	Design
87	A modified Delphi methodology was utilized, with 3 electronic voting rounds. AGREE II and
88	an adapted COVID-19 survey framework from physiotherapy were used to develop survey
89	statements. Sixty-six statements pertaining to workforce planning and management of
90	communication and swallowing function in the ICU were included.
91	
92	Setting
93	Electronic modified Delphi process.
94	
95	Participants
96	35 speech-language pathologists (SLPs) from 6 continents representing 12 countries.
97	
98	Interventions
99	Not applicable.
100	
101	Main Outcome Measures
102	The main outcome was consensus agreement, defined <i>a priori</i> as ≥70% of participants with
103	a mean Likert score ≥7.0 (11-point scale: "0" = strongly disagree, "10" strongly agree).
104	Prioritization rank order of statements in a 4 <sup>th</sup> round was also conducted.
105	
106	
107	
108	Results

- 109 SLPs with a median of 15 years ICU experience, working primarily in clinical (54%), in
- academic (29%) or managerial (17%) positions, completed all voting rounds. After the third
- round, 64 statements (97%) met criteria. Rank ordering identified issues of high importance.
- 112

#### 113 Conclusions

- 114 A set of global consensus statements to facilitate planning and delivery of rehabilitative care
- 115 for patients admitted to the ICU during the COVID-19 pandemic were agreed by an
- 116 international expert SLP group. Statements focus on considerations for workforce
- 117 preparation, resourcing and training, and the management of communication and swallowing
- 118 functions. These statements support and provide direction for all members of the
- rehabilitation team to use for patients admitted to the ICU during a global pandemic.
- 120
- 121

# 122 ABBREVIATIONS

123	AAC	augmentative and alternative communication
124	AGP	aerosol generating procedure
125	COVID-19	coronavirus disease 2019
126	ICU	intensive care unit
127	FEES	flexible endoscopic evaluation of swallowing
128	SARS-CoV-2	severe acute respiratory syndrome coronavirus 2
129	SLP	Speech-Language Pathologist
130	VFSS	videofluoroscopic swallow study
131		

132 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a highly 133 contagious virus responsible for the coronavirus disease 2019 (COVID-19) outbreak and 134 consequential global pandemic.<sup>1,2</sup> As of October 6, 2020, there were 35.5 million cases and 135 a sobering 1,044,490 deaths from COVID-19.<sup>3</sup> ICU admissions with infected patients have increased,<sup>1,4</sup> ranging 5% to  $16\%^{5,6}$  in China, 9% - 46% in Italy,<sup>7,8</sup> and as high as 30% in 136 California and Washington.<sup>9</sup> Patients positive for COVID-19 who are intubated, frequently 137 endure lengthy durations of mechanical ventilation, including being turned prone to improve 138 139 respiratory function, resulting in higher levels of sedation and longer durations of 140 immobilization resulting in jatrogenic impairments that include muscle weakness, fatigue. dysphagia, (neuro)psychological impairments, and impaired activities of daily living.<sup>10-12</sup> 141 142 Moreover, severe SARS-CoV-2 infection has also resulted in patients acquiring neurological 143 conditions such as Guillain-Barre syndrome, stroke, and/or corticospinal tract signs following hospital discharge,<sup>13-17</sup> emphasizing rehabilitation needs. 144

145 Rehabilitation specialists have been historically underutilized in the intensive care 146 unit (ICU). Speech-language pathologists (SLPs) are part of the modern ICU team, providing 147 a key role in intensive care<sup>18-20</sup> and tracheostomy teams.<sup>21-23</sup> SLPs provide clinical expertise 148 in cognitive/communication<sup>24</sup> and swallowing functions<sup>25,26</sup> in the clinical management of 149 patients during and after mechanical ventilation, regardless of the presence of an oral or 150 nasal endotracheal tube or a tracheostomy.

151 Survivors of critical illness require access to care and resources for effective recovery 152 and return to work.<sup>27</sup> However, little is known about communication and swallowing 153 management or rehabilitation needs for patients with COVID-19. Empirical studies regarding 154 the rehabilitation of patients with COVID-19 are yet to emerge and peer-reviewed guidelines for the management of patients with COVID-19 admitted to ICUs to date have focused on 155 156 nursing, medical, and physiotherapy practice.<sup>28,29</sup> Clinical considerations and guidance for acute, subacute, and rehabilitation practices,<sup>30,31</sup> specifically to support SLP management of 157 communication and swallowing function during the COVID-19 pandemic, are emerging.<sup>32-35</sup> 158 159 The aim of this study was to determine consensus on core SLP practices for workforce

- 160 management and the management of both communication and swallowing functions in
- 161 patients diagnosed with COVID-19 admitted to the ICU.

162

#### 164 METHODS

#### 165 Participant Recruitment

SLPs with at least 5 years of clinical experience working in ICUs were invited to 166 167 participate by the principal investigators (PIs: AFS, MBB). All SLPs recruited were either 168 known to the investigators or identified by peers as recognized experts with publications 169 and/or presentations at major international conferences and with expertise in assessing and 170 treating patients in the ICU for communication and swallowing disorders. Experts were 171 sought across 6 continents to provide a global lens with varied clinical, managerial, and research experiences, and varied COVID-19 pandemic experiences. Ethics approval was 172 obtained from University of Technology Sydney and Johns Hopkins University, and all 173 174 participants provided informed consent.

175

#### 176 <u>Survey development</u>

AGREE II<sup>36</sup> and an adapted framework of questions<sup>29</sup> were used to develop tools for 177 consensus ratings. The statements contained in the survey were developed from guidelines 178 179 and published research accessible from web searches, speech-language pathology, 180 otolaryngology, and intensive care societies published earlier than April 8, 2020 in 181 conjunction with expert opinion from the authorship group. A pre-study virtual meeting was 182 held on April 7, 2020 to outline study aims, methods, and timeline. The group was then 183 asked to: 1) individually and anonymously review and comment on the 72 draft statements 184 planned for inclusion in the survey and 2) contribute up to 3 additional statements for 185 consideration. In total, the group provided 22 additional statements and after duplicates were 186 removed, 15 statements were included. The PIs consolidated and refined the statements 187 further to exclude statements outlining standard practice, with the final set of 66 statements 188 included in the May 11, 2020 distribution.

189

#### 190 Modified Delphi Methods

191 The Delphi process convenes a group of experts for decision-making during an 192 iterative process of questions, anonymous responses, and controlled feedback to the 193 respondents.<sup>37</sup> This study involved 3 rounds of modified Delphi consensus voting. The online 194 platform Qualtrics (2019) was used to collect both the demographic and questionnaire data 195 (Qualtrics, https://www.qualtrics.com, Provo, UT). Each round, participants were reminded 196 that the content was confidential and they were not to share, discuss, or distribute any 197 content. Participants were further reminded to respond using his/her own knowledge and 198 expertise independent of his/her country, place of business, affiliation, society membership, 199 guideline, or other external guidance.

200 Each participant was sent the link to Round 1 on May 11, 2020, categorized into 3 201 domains: 1) Workforce planning, preparation, and management, including statements (n=25) 202 relating to organization of personnel and resources to address clinical surge and distribution 203 across service lines, 2) Management of communication function, which considered the 204 organization and resources for assessing and promoting effective patient understanding and 205 expression, regardless of whether the patient was intubated with mechanical ventilation, 206 post-extubation, or not intubated (n=15 statements), and 3) Management of swallowing 207 function (n=26 statements), which considered the organization and resources for assessing 208 and promoting safe and effective swallowing (see Supplemental Material 1). An 11-point 209 Likert scale was used to rate each statement (0=strongly disagree, 10=strongly agree). 210 Consensus agreement was operationally defined *a priori* as  $\geq 70\%^{29,38,39}$  of the participants 211 with a mean Likert score  $\geq$ 7.0 for any statement.

In Round 1, participants were asked to rate agreement with all 66 statements. During Rounds 2 and 3, participants were asked to rate only those statements that failed to meet consensus on Round 1 or 2 respectively, and explain why they chose that rating for each statement. In both Round 2 (beginning May 15, 2020) and 3 (beginning May 19, 2020) the mean score and standard deviation (obtained from previous round) for any included statement was provided as feedback. Additionally, Round 3 feedback included two anonymous remarks each from participants who scored statements ≤2 and ≥8 from Round 2

that represented reasons for why these "extreme" scores were chosen. These remarks were included as feedback for Round 3 and chosen for inclusion by the PIs. All participants were advised in advance of the planned dates and timing of each rounds of consultation, with each round sent to participants with 96 hours to complete.

An exploratory fourth round (beginning May 24, 2020) of anonymous voting and unrelated to the modified Delphi procedures was added to rank order priorities within each of the 3 domains of questions. Statements that scored a mean Likert score  $\geq$ 9 and  $\geq$ 90% consensus were included.

227

#### 228 Statistical analysis

229 Descriptive statistics were used to analyze demographic and statement data.

230 Differences between groups were analyzed using the Kruskal-Wallis H test. Weighted rank

231 ordering was used to determine prioritization. Stata version 12.1 (College Station, TX) and

232 Microsoft Excel 2019 (Redmond, WA) were used for statistical analyses.

233

#### 235 **RESULTS**

Thirty-five invitations were sent to experts representing 6 continents (12 countries). All agreed to participate. Participants self-identified their current primary role as 19 (54%) clinical, 10 (29%) academic/research, and 6 (17%) managerial/administrative, with a median of 19 (interquartile range [IQR]: 10, 24) years of experience. Years of experience did not differ significantly between groups (H(2) = 3.438, p = 0.18). Participants collectively had a median of 15 (IQR: 10, 20) years clinical ICU experience with no significant difference between groups (H(2) = 1.896, p = 0.38).

243

#### 244 Modified Delphi Results

The 3 modified Delphi rounds each had a response rate of 100% (35/35 participants) and was completed within 96 hours of the electronic questionnaire distribution. All participants attested that there was no communication between the PIs, the participants, or other colleagues regarding the content of the questionnaire throughout the modified Delphi

rounds.

Round 1 resulted in consensus for 61/66 (92%) statements across the 3 domains. Round 2 included the 5 items that failed to meet consensus, and agreement was reached for 2 of the 5 statements. Round 3 contained 3 statements, with consensus reached for 1. At the end of 3 modified Delphi rounds, 64/66 (97%) statements reached consensus (Table 1), with 1 statement in *management of communication function* and 1 statement in *management of swallowing function* that did not reach consensus.

256

#### 257 Workforce planning, preparation, and management

In Round 1, 24/25 (96%) of the statements reached consensus. The statement that did not reach consensus was: "Strategies, considering patient/family goals, should be posted outside of the patient's room immediately after evaluation or change in recommendations," (M=7.1, SD=2.2, consensus 57%). In Round 2, consensus was reached (M=7.3, SD = 2.2, 74% consensus).

263 264 Management of communication function 265 In Round 1, 14/15 (93%) communication statements reached consensus. The 266 statement that did not reach consensus was: "Speaking (i.e., oral communication) is a low 267 risk aerosol generating procedure (AGP)" (M=5.9, SD=2.9, 49% consensus). In both Rounds 268 2 and 3, this statement failed to reach consensus (Round 2: M=5.8, SD=2.8, 57% 269 consensus; Round 3: M=5.9, SD=2.8, 63% consensus). 270 271 Management of swallow function In Round 1, 23/26 (88%) of statements reached consensus. The 3 statements that 272 273 did not reach consensus were: 1) "Assessment of the gag reflex is considered an aerosol 274 generating procedure (AGP). Assessment should be discussed with the treating ICU team" 275 (M=7.1, SD=3.0, 66% consensus), 2) "A voluntary cough (i.e., asking the patient to cough) is 276 considered an aerosol generating procedure. Assessment should be discussed with the 277 treating ICU team" (M=7.2, SD=3.1, 63% consensus), and 3) "Swallowing therapy tasks that 278 are aerosol generating tasks should be provided to patients" (M=6.9, SD=2.7, 57% 279 consensus). After Round 2, participants only agreed that a voluntary cough is an AGP 280 (M=7.7, SD=2.6, 86% consensus), whereas "testing the gag reflex" (M=6.9, SD=2.5, 71% 281 consensus) and "swallowing therapy tasks" (M= 6.8, SD=2.6, 63% consensus) failed to 282 reach consensus. At the end of Round 3, "swallowing therapy tasks" reached consensus 283 (M=7.3, SD=2.7, 77% consensus), but "testing the gag reflex" did not reach consensus 284 (M=5.3, SD=3.2, 49% consensus).

285

#### 286 Post-hoc Analysis

A *post-hoc* analysis was completed to address the 17 statements that contained an additional phrase: "...should be discussed with the treating ICU team" (or similar). All of these statements regarded AGPs. On June 17, 2020, a questionnaire was distributed, specifically removing this phrase from each statement (supplemental material 2). Two

291 additional questions asked participants to average how frequently and how much weight the 292 "discuss with the treating ICU team" phrase influenced the ratings across all questions 293 containing this phrase using a 0-10 scale (i.e., 0=never; 10=always). There was 100% 294 (35/35 participants) response rate. Consensus was reached on 15/17 (88%) statements 295 using previously stated criteria for consensus. The 2 statements that did not reach 296 consensus were: 1) "Swallowing/feeding trials may be considered an aerosol generating procedure" (M=7.4, SD=2.7, 66% consensus) and 2) "Videofluoroscopic swallow studies 297 298 (VFSS) may be considered an aerosol generating procedure" (M=7.5, SD=2.6, 66% consensus). Finally, for the phrase "...should be discussed with the treating ICU team" (or 299 300 similar), participants reported a mean of 7.3 (SD=2.7) for how frequently they regarded the 301 phrase and a mean of 6.5 (SD=2.3) for how much weight they placed on the phrase. 302

#### 303 Rank Order Results

304 Thirty-three statements resulted in a mean  $\geq 9.0$  for  $\geq 90\%$  of participants during 305 voting rounds. These statements were ranked in priority order across the three survey 306 sections (Table 2) which encompassed five themes (Table 3). The top three statements 307 included: identify staff with ICU-specific skills in relation to communication, swallow, and 308 tracheostomy management; access to resources e.g., glasses, hearing aids, call bells, 309 augmentative and alternative communication (AAC) to enable increased patient 310 communication; and staff should meet regularly with ICU staff (i.e., physicians, nurses) to 311 determine indications for swallowing management in patients with (or suspected) COVID-19. 312

#### 313 DISCUSSION

This study engaged a global expert panel of SLPs to determine consensus in 3 domains of SLP practice in the ICU that apply more broadly to rehabilitation professionals and the ICU multidisciplinary teams in several countries. Our criteria for defining consensus ensured a high threshold for final inclusion. We achieved consensus for 97% of the guestionnaire's 66 statements across three distinct groups of professionals (i.e., clinicians,

319 academics/researchers, managers/administrators) from 12 countries on 6 continents 320 regardless of ICU specialty. The 2 statements that did not reach consensus both related to 321 classifying tasks/behaviors as AGPs, one related to communication, the other related to 322 swallowing. Considering the current lack of clarity regarding exactly what SLP tasks meet 323 the criteria for classification as AGPs this finding is not unexpected.<sup>40,41</sup> However, it does 324 highlight a potential difference in perceived approaches in management of safety risk, work, 325 and health. Prioritization for our panel of SLPs differed across domains. For workforce 326 planning, preparation and management, highest priority was given to specialist training for 327 SLPs and caseload management strategies. For management of communication, highest 328 priority was given to communication access for patients in the ICU. Finally, for management 329 of swallowing, focus was almost entirely on viral containment and enabling patients to 330 continue to receive appropriate and timely swallow assessments and rehabilitation without 331 risking the health of the health professionals (Table 3).

332 Participants agreed that rehabilitation occurs within and beyond the ICU. As a group, 333 participants' highest ranked item for the workforce planning and management section, was 334 the need to identify SLPs with specific skills for the provision of communication and 335 swallowing rehabilitation in ICU patients. To bolster extent and continuity of care, a 336 multidisciplinary team inclusive of physicians, advanced-practice providers (e.g., nurse 337 practitioner, physician assistant), nurses, respiratory therapists, physical therapists, 338 occupational therapists, dieticians, and social workers is also necessary, but this is only a 339 first step.<sup>20</sup> Strategic planning, including contingencies for service delivery of independent 340 and specialized clinical practices within the changing nature of the pandemic, should be 341 considered. In fact, as an autonomous clinical provider, the weight and frequency of how 342 SLPs regarded the phrase: "...should be discussed with the treating ICU team" influenced 343 their ratings. Prioritizing staffing is paramount to deliver rehabilitation services that will reduce morbidities and to promote improved functional outcomes in survivors of critical 344 345 illness.

Access to equipment and resources for purposes of enabling patient communication function, was regarded as the highest statement within the communication management survey section. Communication difficulties in the ICU arise from a variety of factors, including loss of voice with mechanical ventilation. Other communication difficulties can co-occur with onset of acquired weaknesses. As a result, patients have diverse communication needs during admission to the ICU, and may require communication supports with all members of the rehabilitation team during periods on and off mechanical ventilation.

353 Consideration of AGPs is a concept that arose particularly within swallowing function 354 at the start of the COVID-19 pandemic. There were 14/15 (93%) AGP statements in the 355 management of swallowing function section of questionnaire that reached consensus. From 356 January to May, AGP definitions and their delineation of risks continued to mature.<sup>40,42,43</sup> The 357 timing of the questionnaire distributions began during the time of full lockdown, arguably the 358 time of most conservative thinking and uncertainty. Interestingly, the *post-hoc* questionnaire 359 underscored these findings, but also demonstrated a shift in opinions concerning swallowing 360 feeding trials and the VFSS, i.e., more disagreement that these two procedures should be 361 regarded as AGPs. Distribution of this *post-hoc* questionnaire in mid-June was 362 approximately 1 month after several countries began phases of reopening. VFSS 363 services/clinics, in particular, were largely shut down across many institutions prior to June 364 when they began reopening.<sup>44</sup> With 5 weeks between Round 1 and the *post-hoc* 365 questionnaires, this shift in opinions may reflect practice changes and clinical experience, as 366 we learned that differences with the density and potential transmission of SARS-CoV-2 367 during AGPs can vary across physiological functions of speaking and breathing. This new 368 evidence may have been reflected in the variation of opinions in the expert group.<sup>45-49</sup>

Ongoing research into the rehabilitation needs and outcomes of survivors of COVID-19 is needed to assist with ongoing workforce planning and delivery of healthcare. Full participation across all Delphi rounds and our panelists' experience, individually spanning multiple countries, attests to the robustness of our findings and the broad applicability across geographic boundaries in practice.

374

#### 375 Limitations

376 Despite efforts to ensure rigorous methodology, the study has limitations that need to 377 be considered. Recruitment was through a network of experienced ICU clinicians and clinical 378 researchers, and hence may not represent the views of all clinicians. Also, it is 379 acknowledged that although 12 countries were within the participant cohort, the majority (66%) came from 3 specific countries (i.e., Australia, United Kingdom, United States). 380 381 However, both between and within these countries, variation is evident with SARS-CoV-2 infection rates, pandemic response, and clinical practice.<sup>50</sup> As such we believe each 382 383 participating clinician brought differing perspectives and experiences to the study. 384 independent of demographic or country composition. 385 Governing bodies and professional organizations were frequently updating opinions 386 and offering new guidance for safety, clinical procedures, and clinical management. To this 387 point, the World Health Organization (WHO) declared COVID-19 a pandemic on March 11, 388 2020.<sup>51</sup> This guestionnaire was finalized April 14, 2020 and distributed with ethics committee 389 approvals on May 11, 2020, during the time when the evidence base was emerging. 390 Generally speaking, survey instruments are quick and responsive to obtaining new 391 information. In the rapidly changing environment of a new pandemic, changes in 392 understanding SARS-CoV-2 continued to drive daily policy changes.<sup>52-54</sup> These changes 393 may not have been updated between the questionnaire's development and its distribution. 394 Global dissemination and relative acquisition of the latest information may not have been 395 equal, potentially leading to differing professional opinions on these two AGP statements. 396 Moreover, we were unable to determine whether the variable opinions among participants 397 was a reflection of regional differences, general ICU experience, or service experience 398 during the COVID-19 pandemic.

399 Despite the global variability that is known to exist with COVID-19 infection rates and 400 the personal experiences of clinicians in each service and each country, the current study 401 was able to obtain consensus on all but 2 of the items. Because of this, we believe the

402 current findings objectively represent a group of professionals with differing experiences, but
403 who maintain a unified mindset and approach to the management, assessment, and
404 treatment of communication and swallowing management for patients in ICU diagnosed with
405 COVID-19. Further research is need to explore regional and country needs with the
406 changing nature of COVID-19.

407

#### 408 <u>Conclusion</u>

409 Rehabilitation during the COVID-19 pandemic brings challenges for patients, 410 healthcare workers, and organizations with the added complexity of the highly infectious and 411 transmissible nature of SARS-CoV-2. Key areas of patient rehabilitation within the ICU 412 include communication and swallowing functions. The statements contained in the 413 questionnaire help guide the design and delivery of services to improve communication and swallowing function, while protecting staff and limiting the risk of virus spread. For managers, 414 415 the workforce statements also support decisions regarding the management of the SLP 416 workforce providing these services. The consensus statements from this work provide a 417 unified voice to guide clinicians in the planning, implementation of initiatives, and 418 prioritization of services for swallowing and communication management in the ICU, and 419 then into the post ICU rehabilitation phase. 420

421

### 424 Table 1. Delphi Voting Rounds

Survey components	Round 1		Round 2		Round 3	
	Statements voted	Consensu s reached	Statements voted	Consensus reached	Statements voted	Consensus reached
Workforce planning, preparation and management	25	24	1	1		
Management of communication function	15	14	1	0	1	0
Management of swallowing function	26	23	3	1	2	1
Total statements	66	61	5	2	3	1

## **Table 2. Prioritization Results**

4	30

	Total Rank	Daula
Norkforce planning, preparation and management	Score	Rank
dentify staff with ICU-specific clinical skills in relation to communication, swallow, and	200	1
racheostomy patient management.	369	1
Transparent, clear, and timely communication of COVID-19 infection information relating to	224	2
CU care.	334	2
Review of current caseload service delivery to identify capacity for increased service		-
provision to higher acuity and increased clinical demand.	304	3
Transparent, clear, and timely communication of COVID-19 infection information from		_
ederal authorities for training in COVID-19 appropriate PPE	304	3
Educate staff to minimize environmental cross contamination with equipment.	284	5
Facilitate clinical education for ICU specific clinical skills in relation to communication,		
swallow, and tracheostomy patient management.	282	6
Educate staff for the developments of COVID-19-specific disease progression (e.g., delayed		
onset of new laryngeal symptoms, post intensive care syndrome-PICS).	256	7
Monitor staff mental well-being.	213	8
Consider provision of rehabilitation services for post-ICU discharge, including access for		
ongoing swallow and communication therapies.	190	9
Staff access to uniforms (e.g., scrubs) for provision of care in ICU.	187	10
Educate staff of reporting guidelines for clinical incidents related to COVID-19.	180	11
Consider additional resources (including training) for the acquisition of telehealth	100	
capabilities.	143	12
Consider staff training needs for provision of rehabilitation services post-ICU discharge (i.e.,	145	12
post intensive care syndrome; PICS)	139	13
	Total Rank	15
Management of communication function	Score	Rank
Access to resources (e.g., glasses, hearing aids, call bells, AAC) to enable increased patient		
communication.	247	1
Make accessible a range of communication options to address diverse communication		
profiles, including alternative and augmentative communication systems and strategies, to		
non-SLP staff (e.g., nurses, physicians).	220	2
Patients should be provided with support for engaging with family and support networks		
using communication aids and technologies.	209	3
First consider non-aerosol generating communication supports and aids.	195	4
Consider interpreting services (via phone or electronics) to enhance communication (to		
nclude culturally and linguistically diverse backgrounds).	172	5
Cuff deflation is an aerosol generating procedure. Communication procedures for patients		
with a tracheostomy that require cuff deflation (e.g., speaking valves, leak speech) during		
nechanical ventilation should be discussed with the treating ICU team.	159	6
Cuff deflation is an aerosol generating procedure. Communication procedures for patients	100	Ū
with a tracheostomy that require cuff deflation (e.g., speaking valves, leak speech) without		
	147	7
nechanical ventilation should be discussed with the treating ICU team.	14/	7
Above cuff phonation is an aerosol generating procedure. Management and use should be	120	0
discussed with the treating ICU team.	129	8
Communication procedures for patients with a stoma (i.e., laryngectomy including voice	07	~
prostheses) should be discussed with the treating ICU team.	97	9
	Total Rank	
Aanagement of swallowing function	Score	Rank

Staff should meet regularly with ICU staff (i.e., physicians, nurses) to determine indications		
for swallowing management in patients with (or suspected) COVID-19.	322	1
Cuff deflation is an aerosol generating procedure. Swallowing procedures for patients with a		
tracheostomy that require cuff deflation (e.g., speaking valves) during mechanical		
ventilation should be discussed with the treating ICU team	240	2
Cuff deflation is an aerosol generating procedure. Swallowing procedures for patients with a		
tracheostomy that require cuff deflation (e.g., speaking valves) without mechanical		
ventilation should be discussed with the treating ICU team	231	3
Flexible endoscopic evaluation of swallowing (FEES) is considered an aerosol generating		
procedure. Assessment should be discussed with the treating ICU team.	227	4
Patients should be supported to independently complete aspects of swallow rehabilitation		
as able.	217	5
Non-invasive ventilation (e.g., high flow nasal oxygen, BiPAP) is considered an aerosol		
generating procedure. A swallowing assessment in this context should be discussed with the		
treating ICU team.	210	6
Patients should be encouraged to self-feed where able.	210	6
Swallowing therapy tasks that are not aerosol generating tasks should be provided to		
patients.	208	8
Videofluoroscopic swallow studies (VFSS) may be considered an aerosol generating		
procedure. Assessment should be discussed with the treating ICU team.	183	9
Cleaning non-invasive equipment (e.g., stethoscopes, flashlights, ultrasound) between		
patients should be discussed with the ICU staff due to risk of cross contamination and		
healthcare worker infection.	167	10
Respiratory muscle strength training (i.e., EMST and IMST) is considered an aerosol		
generating procedure. Implementation should be discussed with the treating ICU team.	95	11

#### 433 Ta

434

Cable 3. Prioritization Statements Themed				
Theme	No. of statements	Examples		
Viral containment	16	Transparent, clear, and timely communication of COVID-19 infection information relating to ICU care. Videofluoroscopic swallow studies (VFSS) may be considered an aerosol generating procedure. Assessment should be discussed with the treating ICU team.		
Managing extreme workloads / influx of patients	2	Review of current caseload service delivery to identify capacity for increased service provision to higher acuity and increased clinical demand. Staff should meet regularly with ICU staff (i.e., physicians, nurses) to determine indications for swallowing management in patients with (or suspected) COVID-19.		
Specialist training and staff well being	5	Identify staff with ICU-specific clinical skills in relation to communication, swallow, and tracheostomy patient management. Consider staff training needs for provision of rehabilitation services post-ICU discharge (i.e., post intensive care syndrome; PICS)		
Communication accessibility	7	Access to resources (e.g., glasses, hearing aids, call bells, AAC) to enable increased patient communication. Consider additional resources (including training) for the		

acquisition of telehealth capabilities.

aspects of swallow rehabilitation as able.

tasks should be provided to patients.

Patients should be supported to independently complete

Swallowing therapy tasks that are not aerosol generating

435

NB: Some statements crossed over two themes

5

Swallow intervention

accessibility

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